CALIFORNIA FIDUCIARY ACCOUNTING

Estate of Walter R. Pemberton

Period: 08/19/2024 – 07/31/2025

CHARGES

Schedule A – Assets at Beginning of Period	$	3,327,394.95
Schedule B – Receipts of Principal	$	88,797.16
Schedule E – Income Received	$	10,836.36
Schedule C – Gains on Sales	$	570,753.02
TOTAL CHARGES	**$**	**3,997,781.49**

CREDITS

Schedule F – Disbursements of Principal	$	164,784.56
Schedule G – Disbursements of Income		NONE
Schedule D – Losses on Sales	$	8,889.52
Schedule I – Distributions to Beneficiaries		NONE
Schedule H – Assets on Hand at End of Period	$	3,824,107.41
TOTAL CREDITS	**$**	**3,997,781.49**

BALANCE (Charges – Credits)	**$**	**0.00**

BALANCES ONLY WITH MISSING STATEMENT ENTRIES

⚠ MISSING STATEMENTS ⚠

The following statements or documents are missing:

- Charles Schwab IRA xxxx3348: 09/01/2024 through 03/31/2025
- Charles Schwab One xxxx6159: 09/01/2024 through 03/31/2025
- Wells Fargo CD xxxx9061: the remaining pages of the September 30, 2024 statement (Wells Fargo CD Sep 2024.pdf)

Balancing entries marked MISSING STATEMENTS are shown on the schedules. Replace each one with the actual transactions or rerun with the complete set of statements.

IMPORTANT REMINDER!

This output is a preliminary draft for your review and edits.

Always verify that all data, accounts, sub-accounts, and transactions are properly accounted for on all schedules. You must always independently review and verify the entire accounting before using or relying on it for any purpose. We recommend having it reviewed by a licensed attorney or professional accounting firm.

Notice an error? Email support@balanced.law.

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⚠ WARNING: IMAGE DEFECTS IN SCANNED PDFs COULD NOT BE FULLY PROCESSED ⚠

Several schedules contain transaction amounts and data that must be confirmed and verified. Please review carefully.

NOTE: The Inventory and Appraisal.pdf's displayed total, $3,746,948.03, appears $2,619.00 less than its two attachments added together ($83,740.22 + $3,665,826.81 = $3,749,567.03). This is for-your-information and you may wish to review; this accounting uses the total value of $3,746,948.03 as displayed on the Inventory and Appraisal.

NOTE: Schedule A carries Wells Fargo CD (acct xxxx9061) at its Inventory and Appraisal value, $6,563.99, which is $5.40 more than the bank's CD history prints 'Balance on 08/19/2024 = $6,558.59'. The $8.33 of CD interest earned after the date of death, which the statements provided do not itemize, is shown on Schedule E.

ACCOUNTS

Ashford Private Bank ICS Savings (acct xxxx817)
Ashford Private Bank Private Checking (acct xxxx4817)
Charles Schwab IRA (acct xxxx3348) — Brokerage Account
Charles Schwab One (acct xxxx6159) — Brokerage Account
Victory Funds (acct xxxx2876) — Brokerage Account
Victory Funds Roth IRA (acct xxxx7405) — Brokerage Account
Wells Fargo CD (acct xxxx9061)
Coin Collection
Personal Property
Real Property

All amounts pull live from schedule tabs. Edit any amount in any schedule and this summary updates automatically.

Generated: August 17, 2025 | 474 transactions

Schedule A – Assets at Beginning of Period

California Probate Code § 1061(a)(1)

Estate of Walter R. Pemberton

Period: 08/19/2024 – 07/31/2025

Date	Symbol	Security / Description	Quantity	Basis/Share	I&A Item	Carry Value	Total	*Notes (attorney use)*	*Trace*
Real Property									
08/19/2024		31845 Larkspur Circle, Temecula, CA 92592 (APN 952-114-37; I&A Attachment 2, item 1b)				$ 1,276,472.00		Per Seller's Final Statement.pdf: carried at $1,276,472.00 at the start of the period.	Seller's Final Statement.pdf p. 1
08/19/2024		8317 Canyon Oak Way, Citrus Heights, CA 95610 (APN 243-0272-031; I&A Attachment 2, item 1a)				$ 292,488.00		Per Inventory and Appraisal.pdf.	Inventory and Appraisal.pdf
08/19/2024		1126 Sycamore Dr., Hanford, CA 93230 (APN 017-322-041-000; I&A Attachment 2, item 1c)				$ 410,357.00		Per Inventory and Appraisal.pdf.	Inventory and Appraisal.pdf
Real Property – Subtotal							**$ 1,979,317.00**		
Cash Items on Hand at Death									
08/19/2024		Check #35579 from Coastal Coin Auctions LLC, on hand at death (I&A Attachment 1, item 2)			Attachment 1, item 2	$ 618.55		Per Inventory and Appraisal.pdf: carried at $811.44 at the start of the period.	Inventory and Appraisal.pdf
08/19/2024		Check #03608 from Coastal Coin Auctions LLC, on hand at death (I&A Attachment 1, item 3)			Attachment 1, item 3	$ 192.89		Per Inventory and Appraisal.pdf: carried at $811.44 at the start of the period.	Inventory and Appraisal.pdf
Cash Items on Hand at Death – Subtotal							**$ 811.44**		
Coin Collection									
08/19/2024		US Mint non-gold coins (I&A Attachment 2, item 7a)				$ 32,698.60		Per Inventory and Appraisal.pdf.	Inventory and Appraisal.pdf
08/19/2024		US Mint gold/platinum coins (I&A Attachment 2, item 7b)				$ 267,839.00		Per Inventory and Appraisal.pdf.	Inventory and Appraisal.pdf
08/19/2024		Collectible coins (I&A Attachment 2, item 7, printed 'b. COLLECTIBLE COINS' on page 9)				$ 44,078.00		Per Inventory and Appraisal.pdf.	Inventory and Appraisal.pdf
Coin Collection – Subtotal							**$ 344,615.60**		
Personal Property									
08/19/2024		1966 Ford Mustang, VIN 6R07C148293, non-op (I&A Attachment 2, item 2b; not mentioned in the memo - shown as still on hand)				$ 3,230.00		Per Inventory and Appraisal.pdf.	Inventory and Appraisal.pdf
08/19/2024		2016 Honda Accord, VIN 1HGCR2F31GA051847 (I&A Attachment 2, item 2a) - the memo says it was sold 3/4/25; the sale price and the deposit of the proceeds are not in the uploaded documents, so it is shown at its inventory value until they are				$ 8,906.00		Per Inventory and Appraisal.pdf.	Inventory and Appraisal.pdf
Personal Property – Subtotal							**$ 12,136.00**		
Wells Fargo CD (acct xxxx9061)									
Cash & Cash Equivalents									
08/19/2024		BALANCE ON 08/19/2024				$ 6,563.99		WARNING: The Inventory and Appraisal lists Wells Fargo Account #XXX-9061 at $6,563.99 (Attachment 1, item 1), but the bank's CD	Wells Fargo CD history.pdf p. 1
Wells Fargo CD (acct xxxx9061) – Subtotal							**$ 6,563.99**		
Charles Schwab IRA (acct xxxx3348)									
Cash & Cash Equivalents									

08/19/2024	SWVXX	SCHWAB PRIME ADVANTAGE MONEY INVESTOR	5,119.06	$	1.00		$	5,119.06		Opening balance from prior-period ending holdings (exact) — Schwab IRA Aug 2024.pdf p. 3
08/19/2024		CHARLES SCHWAB BANK (BANK SWEEP)	2,103.47	$	1.00		$	2,103.47		Opening balance from prior-period ending holdings (exact) — Schwab IRA Aug 2024.pdf p. 3
Mutual Funds										
08/19/2024	USIBX	VICTORYCOREPLUSINTERMEDIATEBONDFUND	1,481.220	$	9.30		$	13,768.29		1,481.2200 sh at the date of death (August 2024 statement position); cost basis $13,768.29 as printed on Schwab IRA Aug — Schwab IRA Aug 2024.pdf p. 3
08/19/2024	USHYX	VICTORYHIGHINCOME	3,254.674	$	6.88		$	22,378.51		3,254.6740 sh at the date of death (August 2024 statement position); cost basis $22,378.51 as printed on Schwab IRA Aug — Schwab IRA Aug 2024.pdf p. 3
08/19/2024	USSBX	VICTORYSHORT-TERMBOND	1,478.690	$	8.03		$	11,873.34		1,478.6900 sh at the date of death (August 2024 statement position); cost basis $11,873.34 as printed on Schwab IRA Aug — Schwab IRA Aug 2024.pdf p. 3
08/19/2024	USAUX	VICTORYAGGRESSIVEGROWTH	783.388	$	35.07		$	27,469.78		783.3880 sh at the date of death (August 2024 statement position); cost basis $27,469.78 as printed on Schwab IRA Aug 2024.pdf p. 3 — Schwab IRA Aug 2024.pdf p. 3
08/19/2024	USEMX	VICTORYEMERGINGMARKETS	789.548	$	11.81		$	9,325.44		789.5480 sh at the date of death (August 2024 statement position); cost basis $9,325.44 as printed on Schwab IRA Aug 2024.pdf p. 3 — Schwab IRA Aug 2024.pdf p. 3
08/19/2024	USMIX	VICTORYEXTENDEDMARKETINDEX	1,853.691	$	16.26		$	30,133.38		1,853.6910 sh at the date of death (August 2024 statement position); cost basis $30,133.38 as printed on Schwab IRA Aug — Schwab IRA Aug 2024.pdf p. 3
08/19/2024	USGRX	VICTORYGROWTH&INCOME	1,367.609	$	18.08		$	24,732.16		1,367.6090 sh at the date of death (August 2024 statement position); cost basis $24,732.16 as printed on Schwab IRA Aug — Schwab IRA Aug 2024.pdf p. 3
08/19/2024	USISX	VICTORYINCOMESTOCK	1,752.653	$	15.03		$	26,335.06		1,752.6530 sh at the date of death (August 2024 statement position); cost basis $26,335.06 as printed on Schwab IRA Aug — Schwab IRA Aug 2024.pdf p. 3
08/19/2024	USIFX	VICTORYINTERNATIONAL	1,455.035	$	22.96		$	33,411.22		1,455.0350 sh at the date of death (August 2024 statement position); cost basis $33,411.22 as printed on Schwab IRA Aug — Schwab IRA Aug 2024.pdf p. 4
08/19/2024	USAGX	VICTORYPRECIOUSMETALSANDMINERALS	620.223	$	8.07		$	5,005.57		620.2230 sh at the date of death (August 2024 statement position); cost basis $5,005.57 as printed on Schwab IRA Aug 2024.pdf p. 4 — Schwab IRA Aug 2024.pdf p. 4
08/19/2024	USSCX	VICTORYSCIENCE&TECHNOLOGY	1,632.515	$	19.58		$	31,962.22		1,632.5150 sh at the date of death (August 2024 statement position); cost basis $31,962.22 as printed on Schwab IRA Aug — Schwab IRA Aug 2024.pdf p. 4
08/19/2024	USCAX	VICTORYSMALLCAPSTOCK	1,934.285	$	13.61		$	26,322.11		1,934.2850 sh at the date of death (August 2024 statement position); cost basis $26,322.11 as printed on Schwab IRA Aug — Schwab IRA Aug 2024.pdf p. 4
08/19/2024	USAWX	VICTORYSUSTAINABLEWORLD	812.649	$	22.76		$	18,493.98		812.6490 sh at the date of death (August 2024 statement position); cost basis $18,493.98 as printed on Schwab IRA Aug 2024.pdf p. 4 — Schwab IRA Aug 2024.pdf p. 4
08/19/2024	UVALX	VICTORYVALUE	1,404.157	$	15.33		$	21,521.51		1,404.1570 sh at the date of death (August 2024 statement position); cost basis $21,521.51 as printed on Schwab IRA Aug — Schwab IRA Aug 2024.pdf p. 4
08/19/2024	USSPX	VICTORY500INDEXMEMBER	651.612	$	29.61		$	19,295.22		651.6120 sh at the date of death (August 2024 statement position); cost basis $19,295.22 as printed on Schwab IRA Aug 2024.pdf p. 4 — Schwab IRA Aug 2024.pdf p. 4
Charles Schwab IRA (acct xxxx3348) – Subtotal									**$**	**329,250.32**

Charles Schwab One (acct xxxx6159)										
Cash & Cash Equivalents										
08/19/2024	SWVXX	SCHWAB PRIME ADVANTAGE MONEY INVESTOR	646.28	$	1.00		$	646.28		Opening balance from prior-period ending holdings (exact) — Schwab One Aug 2024.pdf p. 3
08/19/2024		CHARLES SCHWAB BANK (BANK SWEEP)	6,955.40	$	1.00		$	6,955.40		Opening balance from prior-period ending holdings (exact) — Schwab One Aug 2024.pdf p. 2
Stocks										
08/19/2024	BAC	BANKOFAMERICACORP	168.218	$	20.45		$	3,440.84		168.2180 sh at the date of death (August 2024 statement position); cost basis $3,440.84 as printed on Schwab One Aug 2024.pdf p. 3 — Schwab One Aug 2024.pdf p. 3
08/19/2024	BA	BOEINGCO	49.000	$	134.11		$	6,571.58		49.0000 sh at the date of death (August 2024 statement position); cost basis $6,571.58 as printed on Schwab One Aug 2024.pdf p. 3 — Schwab One Aug 2024.pdf p. 3
08/19/2024	CCL	CARNIVALCORP F	49.151	$	13.53		$	665.00		49.1510 sh at the date of death (August 2024 statement position); cost basis $665.00 as printed on Schwab One Aug 2024.pdf p. 3 — Schwab One Aug 2024.pdf p. 3
08/19/2024	PFE	PFIZERINC	128.065	$	26.16		$	3,350.49		128.0646 sh at the date of death (August 2024 statement position); cost basis $3,350.49 as printed on Schwab One Aug 2024.pdf p. 3 — Schwab One Aug 2024.pdf p. 3
08/19/2024	VTRS	VIATRISINC	14.624	$	10.85		$	158.68		14.6236 sh at the date of death (August 2024 statement position); cost basis $158.68 as printed on Schwab One Aug 2024.pdf p. 3 — Schwab One Aug 2024.pdf p. 3
Charles Schwab One (acct xxxx6159) – Subtotal									**$**	**21,788.27**

Victory Funds (acct xxxx2876)										
Cash & Cash Equivalents										
08/19/2024	USAXX	MONEY MARKET FUND	61,540.58	$	1.00		$	61,540.58		Opening balance from prior-period ending holdings (exact) — Victory history May 2024-Jun 2025.p

Mutual Funds										
08/19/2024	USSCX	SCIENCE & TECHNOLOGY FUND	4,395.239	$	17.14		$	75,320.33	4,395.2390 sh at the date of death (2024 annual statement running share total); cost basis $75,320.33 as printed on Victory's	Victory history May 2024-Jun 2025.p
08/19/2024	USPRX	500 INDEX FUND REWARD	2,145.600	$	72.76		$	156,104.04	COST BASIS NOT LEGIBLE: the memo asks for the securities at cost basis. Victory's 02/20/2025 lot table (Victory lot table Nov	Victory history May 2024-Jun 2025.p
08/19/2024	USAUX	AGGRESSIVE GROWTH FUND	760.908	$	34.31		$	26,106.64	760.9080 sh at the date of death (2024 annual statement running share total); cost basis $26,106.64 as printed on Victory's 02/20/2025	Victory history May 2024-Jun 2025.p
08/19/2024	USAIX	INCOME FUND	3,820.364	$	10.31		$	39,392.96	COST BASIS NOT LEGIBLE: the memo asks for the securities at cost basis. Victory's 02/20/2025 lot table (Victory lot table Nov	Victory history May 2024-Jun 2025.p
08/19/2024	USATX	TAX EXEMPT INTERMEDIATE-TERM FUND	1,468.281	$	11.03		$	16,191.11	COST BASIS NOT LEGIBLE: the memo asks for the securities at cost basis. Victory's 02/20/2025 lot table (Victory lot table Nov	Victory history May 2024-Jun 2025.p
08/19/2024	USSTX	TAX EXEMPT SHORT-TERM FUND	1,396.894	$	9.04		$	12,623.15	COST BASIS NOT LEGIBLE: the memo asks for the securities at cost basis. Victory's 02/20/2025 lot table (Victory lot table Nov	Victory history May 2024-Jun 2025.p
08/19/2024	USAGX	PRECIOUS METALS & MINERALS FUND	721.975	$	14.21		$	10,262.69	721.9750 sh at the date of death (2024 annual statement running share total); cost basis $10,262.69 as printed on Victory's 02/20/2025	Victory history May 2024-Jun 2025.p
08/19/2024	USIFX	INTERNATIONAL FUND	1,178.678	$	21.44		$	25,276.21	1,178.6780 sh at the date of death (2024 annual statement running share total); cost basis $25,276.21 as printed on Victory's	Victory history May 2024-Jun 2025.p
08/19/2024	USEMX	EMERGING MARKETS FUND	807.000	$	12.17		$	9,822.44	807.0000 sh at the date of death (2024 annual statement running share total); cost basis $9,822.44 as printed on Victory's 02/20/2025	Victory history May 2024-Jun 2025.p
08/19/2024	USCBX	CALIFORNIA BOND FUND	2,299.358	$	8.97		$	20,617.74	COST BASIS NOT LEGIBLE: the memo asks for the securities at cost basis. Victory's 02/20/2025 lot table (Victory lot table Nov	Victory history May 2024-Jun 2025.p
08/19/2024	USNQX	NASDAQ-100 INDEX FUND	3,882.874	$	9.98		$	38,745.07	3,882.8740 sh at the date of death (2024 annual statement running share total); cost basis $38,745.07 as printed on Victory's	Victory history May 2024-Jun 2025.p
08/19/2024	USHYX	HIGH INCOME FUND	3,277.099	$	6.09		$	19,942.81	COST BASIS NOT LEGIBLE: the memo asks for the securities at cost basis. Victory's 02/20/2025 lot table (Victory lot table Nov	Victory history May 2024-Jun 2025.p
Victory Funds (acct xxxx2876) – Subtotal									**$ 511,945.77**	

Victory Funds Roth IRA (acct xxxx7405)										
Mutual Funds										
08/19/2024	USAUX	AGGRESSIVE GROWTH FUND	406.046	$	68.49		$	27,812.00	COST BASIS NOT PRINTED: the memo asks for the securities at cost basis, but Victory prints no cost basis for this Roth IRA in any	Victory Roth history Nov 2023-Apr 2
08/19/2024	USIFX	INTERNATIONAL FUND	98.021	$	28.67		$	2,810.52	COST BASIS NOT PRINTED: the memo asks for the securities at cost basis, but Victory prints no cost basis for this Roth IRA in any	Victory Roth history Nov 2023-Apr 2
08/19/2024	USCGX	CAPITAL GROWTH FUND	204.667	$	12.78		$	2,616.09	COST BASIS NOT PRINTED: the memo asks for the securities at cost basis, but Victory prints no cost basis for this Roth IRA in any	Victory Roth history Nov 2023-Apr 2
08/19/2024	USNQX	NASDAQ-100 INDEX FUND	1,431.409	$	53.45		$	76,510.50	COST BASIS NOT PRINTED: the memo asks for the securities at cost basis, but Victory prints no cost basis for this Roth IRA in any	Victory Roth history Nov 2023-Apr 2
08/19/2024	USHYX	HIGH INCOME FUND	580.454	$	6.09		$	3,532.35	COST BASIS NOT PRINTED: the memo asks for the securities at cost basis, but Victory prints no cost basis for this Roth IRA in any	Victory Roth history Nov 2023-Apr 2
08/19/2024	USCAX	SMALL CAP STOCK FUND	634.156	$	12.12		$	7,685.10	COST BASIS NOT PRINTED: the memo asks for the securities at cost basis, but Victory prints no cost basis for this Roth IRA in any	Victory Roth history Nov 2023-Apr 2
Victory Funds Roth IRA (acct xxxx7405) – Subtotal									**$ 120,966.56**	

TOTAL ASSETS – BEGINNING OF PERIOD		**$ 3,327,394.95**

Schedule B – Receipts of Principal

California Probate Code §§ 1061(a)(3), 1062(a)

Estate of Walter R. Pemberton

Period: 08/19/2024 – 07/31/2025

Date	Description	Amount	Total	*Notes (attorney use)*	*Trace*
Settlement Statement - sale of 31845 Larkspur Circle, Temecula, CA 92592					
04/10/2025	County tax proration credited to the seller (County Taxes at $2,978.72), Final Seller's Statement p. 1	$ 364.07		Credited to the seller per Seller's Final Statement.pdf.	Seller's Final Statement.pdf p. 1
04/10/2025	HOA dues proration credited to the seller (HOA Dues Annually at $463.00), Final Seller's Statement p. 1	$ 259.65		Credited to the seller per Seller's Final Statement.pdf.	Seller's Final Statement.pdf p. 1
04/10/2025	Escrow Credit (for Notary Fee), Harbor Point Escrow, Final Seller's Statement p. 1	$ 109.00		Credited to the seller per Seller's Final Statement.pdf.	Seller's Final Statement.pdf p. 1
Settlement Statement - sale of 31845 Larkspur Circle, Temecula, CA 92592 – Subtotal			**$ 732.72**		
Ashford Private Bank Private Checking (acct xxxx4817)					
02/10/2025	REGULAR DEPOSIT	$ 1,314.96		Deposit	Bank statements 2.pdf p. 10
02/14/2025	VALLEY REAL EST ESTATE OF WALTER R PE ACH CREDIT SENDER	$ 87.00		Deposit	Bank statements 2.pdf p. 10
02/20/2025	VALLEY REAL EST ESTATE OF WALTER R PE ACH CREDIT SENDER	$ 5,125.00		Deposit	Bank statements 2.pdf p. 10
03/11/2025	VALLEY REAL EST ESTATE OF WALTER R PE ACH CREDIT SENDER	$ 1,237.62		Deposit	Bank statements 2.pdf p. 7
03/15/2025	REGULAR DEPOSIT	$ 4,366.00		Deposit	Bank statements 2.pdf p. 7
03/27/2025	REGULAR DEPOSIT	$ 13.93		Deposit	Bank statements 2.pdf p. 7
03/29/2025	REGULAR DEPOSIT	$ 1.89		Deposit	Bank statements 2.pdf p. 7
04/11/2025	VALLEY REAL EST ESTATE OF WALTER R PE ACH CREDIT SENDER	$ 1,236.75		Deposit	Bank statements 2.pdf p. 4
04/26/2025	SUMMIT RESIDENTIAL ESTATE OF WALTER R. PEMB ACH CREDIT OWNERFUNDS 1 ESTATE OF WAL	$ 4,964.53		Deposit	Bank statements 2.pdf p. 4
04/29/2025	REGULAR DEPOSIT	$ 164.40		Deposit	Bank statements 2.pdf p. 4
05/02/2025	SUMMIT RESIDENTIAL ESTATE OF WALTER R. PEMB ACH CREDIT OWNERFUNDS 278 ESTATE OF W	$ 709.89		Deposit	Bank statements 2.pdf p. 1
05/09/2025	VALLEY REAL EST ESTATE OF WALTER R PE ACH CREDIT SENDER	$ 1,236.75		Deposit	Bank statements 2.pdf p. 1
06/04/2025	REGULAR DEPOSIT	$ 7.86		Deposit	Bank statements 4.pdf p. 4

06/06/2025	REGULAR DEPOSIT	$ 25.11		Deposit	Bank statements 4.pdf p. 4
06/11/2025	VALLEY REAL EST ESTATE OF WALTER R PE ACH CREDIT SENDER	$ 1,236.75		Deposit	Bank statements 4.pdf p. 5
07/11/2025	VALLEY REAL EST ESTATE OF WALTER R PE ACH CREDIT SENDER	$ 1,236.75		Deposit	Bank statements 4.pdf p. 1
07/28/2025	SUMMIT RESIDENTIAL ESTATE OF WALTER R. PEMB ACH CREDIT OWNERFUNDS 5 ESTATE OF WAL	$ 2,784.05		Deposit	Bank statements 4.pdf p. 1
Ashford Private Bank Private Checking (acct xxxx4817) – Subtotal			**$ 25,749.24**		

Charles Schwab IRA (acct xxxx3348)					
02/14/2025	Misc Cash Entry WAIVE WIRE FEE	$ 21.83		Credit from Schwab	Schwab IRA history Aug 2024-Mar 2
03/18/2025	I\4isc Cash Entry WAIVE WIRE FEE	$ 21.83		Credit from Schwab	Schwab IRA history Aug 2024-Mar 2
03/31/2025	MISSING STATEMENTS: increase of $29,937.65, 09/01/2024 through 03/31/2025	$ 29,937.65		[MISSING STATEMENTS: The statements for 09/01/2024 through 03/31/2025 are missing or could not be read. The balance went from	Schwab IRA Aug 2024.pdf p. 3; Sch
Charles Schwab IRA (acct xxxx3348) – Subtotal			**$ 29,981.31**		

Charles Schwab One (acct xxxx6159)					
03/18/2025	Misc Credits CUST SERVICE GEST	$ 13.10		Credit from Schwab	Schwab One history Sep 2024-Mar
03/31/2025	MISSING STATEMENTS: increase of $198.83, 09/01/2024 through 03/31/2025	$ 198.83		[MISSING STATEMENTS: The statements for 09/01/2024 through 03/31/2025 are missing or could not be read. The balance went from	Schwab One Aug 2024.pdf p. 3; Sch
Charles Schwab One (acct xxxx6159) – Subtotal			**$ 211.93**		

Victory Funds (acct xxxx2876)					
10/12/2024	AGGRESSIVE GROWTH FUND - REINVEST LT CAP GAIN DIST	$ 2,095.53		Capital gain distribution — currently classified as principal per UFIPA §16340(d)(4).	Victory history May 2024-Jun 2025.p
10/12/2024	EMERGING MARKETS FUND - REINVEST LT CAP GAIN DIST	$ 880.25		Capital gain distribution — currently classified as principal per UFIPA §16340(d)(4).	Victory history May 2024-Jun 2025 (
10/12/2024	INTERNATIONAL FUND - REINVEST LT CAP GAIN DIST	$ 2,609.50		Capital gain distribution — currently classified as principal per UFIPA §16340(d)(4).	Victory history May 2024-Jun 2025.p
10/12/2024	NASDAQ-100 INDEX FUND - REINVEST LT CAP GAIN DIST	$ 5,606.57		Capital gain distribution — currently classified as principal per UFIPA §16340(d)(4).	Victory history May 2024-Jun 2025.p
10/12/2024	500 INDEX FUND REWARD - REINVEST LT CAP GAIN DIST	$ 4,899.01		Capital gain distribution — currently classified as principal per UFIPA §16340(d)(4).	Victory history May 2024-Jun 2025 (
10/12/2024	SCIENCE & TECHNOLOGY FUND - REINVEST LT CAP GAIN DIST	$ 11,857.68		Capital gain distribution — currently classified as principal per UFIPA §16340(d)(4).	Victory history May 2024-Jun 2025.p
Victory Funds (acct xxxx2876) – Subtotal			**$ 27,948.54**		

Victory Funds Roth IRA (acct xxxx7405)					
10/12/2024	AGGRESSIVE GROWTH FUND - REINVEST LT CAP GAIN DIST	$ 1,118.25		Warning: Victory Roth history Nov 2023-Apr 2025.pdf is a scanned PDF with an image defect that makes the highlighted amount	Victory Roth history Nov 2023-Apr 2
10/12/2024	SMALL CAP STOCK FUND - REINVEST LT CAP GAIN DIST	$ 537.45		Capital gain distribution — currently classified as principal per UFIPA §16340(d)(4).	Victory Roth history Nov 2023-Apr 2

10/12/2024	CAPITAL GROWTH FUND - REINVEST LT CAP GAIN DIST	$ 233.86		Capital gain distribution — currently classified as principal per UFIPA §16340(d)(4).	Victory Roth history Nov 2023-Apr 2
10/12/2024	INTERNATIONAL FUND - REINVEST LT CAP GAIN DIST	$ 217.01		Capital gain distribution — currently classified as principal per UFIPA §16340(d)(4).	Victory Roth history Nov 2023-Apr 2
10/12/2024	NASDAQ-100 INDEX FUND - REINVEST LT CAP GAIN DIST	$ 2,066.85		Capital gain distribution — currently classified as principal per UFIPA §16340(d)(4).	Victory Roth history Nov 2023-Apr 2
Victory Funds Roth IRA (acct xxxx7405) – Subtotal			**$ 4,173.42**		

TOTAL PRINCIPAL RECEIPTS		**$ 88,797.16**

Schedule E – Income Received

California Probate Code §§ 1061(a)(3), 1062(a)

Estate of Walter R. Pemberton

Period: 08/19/2024 – 07/31/2025

Date	Description	Amount	Total	*Notes (attorney use)*	*Trace*
Ashford Private Bank ICS Savings (acct xxxx817)					
02/28/2025	Interest Capitalization	$ 18.55		Interest	Bank statements 1.pdf p. 2
03/29/2025	Interest Capitalization	$ 38.36		Interest	Bank statements 1.pdf p. 4
04/30/2025	Interest Capitalization	$ 111.08		Interest	Bank statements 1.pdf p. 6
05/31/2025	Interest Capitalization	$ 191.30		Interest	Bank statements 1.pdf p. 8
06/30/2025	Interest Capitalization	$ 208.65		Interest	Bank statements 3.pdf p. 2
07/16/2025	Interest Capitalization (row text in scan: 'nant MEPESE CAPRA ZATION sn BOBT nnn 9 63293,085,78')	$ 0.76		Warning: Bank statements 3.pdf is a scanned PDF with an image defect that makes the highlighted amount unreadable. This amount was processed as $0.76. Please confirm and	Bank statements 3.pdf
07/31/2025	Interest Capitalization	$ 335.68		Interest	Bank statements 3.pdf p. 4
Ashford Private Bank ICS Savings (acct xxxx817) – Subtotal			**$ 904.38**		
Ashford Private Bank Private Checking (acct xxxx4817)					
06/28/2025	Pay Interest owed	$ 212.39		Interest	Bank statements 4.pdf p. 5
06/30/2025	INTEREST COMPOUNDED	$ 11.03		Interest	Bank statements 4.pdf p. 5
07/31/2025	INTEREST COMPOUNDED	$ 17.13		Interest	Bank statements 4.pdf p. 1
Ashford Private Bank Private Checking (acct xxxx4817) – Subtotal			**$ 240.55**		
Wells Fargo CD (acct xxxx9061)					
10/30/2024	Interest Payment	$ 5.46		CD interest	Wells Fargo CD history.pdf p. 1
11/30/2024	Interest Payment	$ 5.65		CD interest	Wells Fargo CD history.pdf p. 1
12/27/2024	Interest Payment	$ 5.23		CD interest	Wells Fargo CD history.pdf p. 1
01/30/2025	Interest Payment	$ 5.42		CD interest	Wells Fargo CD history.pdf p. 1
02/21/2025	Interest Payment	$ 3.97		CD interest	Wells Fargo CD history.pdf p. 1

		$ 8.33		From 08/20/2024 through 09/30/2024, the CD's balance rose from $6,563.99 (Inventory and Appraisal value) to $6,572.32 (Wells	Wells Fargo CD Sep 2024.pdf p. 4
Wells Fargo CD (acct xxxx9061) – Subtotal			**$ 34.06**		
Charles Schwab IRA (acct xxxx3348)					
08/31/2024	Dividend CashDividend SWVXX SCHWABPRIMEADVANTAGEM	$ 18.44		Dividend - CashDividend	Schwab IRA Aug 2024.pdf p. 4
08/31/2024	Dividend CashDividend USIBX VICTORYCOREPLUSINTERM	$ 49.45		Dividend - CashDividend	Schwab IRA Aug 2024.pdf p. 4
08/31/2024	Dividend CashDividend USSBX VICTORYSHORT-TERMBOND	$ 49.30		Dividend - CashDividend	Schwab IRA Aug 2024.pdf p. 5
02/16/2025	Bank Interest BANK INT...918 SCHWAB BANK	$ 0.17		Interest / money fund dividend	Schwab IRA history Aug 2024-Mar 2
02/16/2025	Bank Interest BANK INT ...918 SCHWAB PREMIER BANK	$ 0.12		Interest / money fund dividend	Schwab IRA history Aug 2024-Mar 2
Charles Schwab IRA (acct xxxx3348) – Subtotal			**$ 117.48**		
Charles Schwab One (acct xxxx6159)					
08/31/2024	Dividend CashDividend SWVXX SCHWABPRIMEADVANTAGEM	$ 2.30		Dividend - CashDividend	Schwab One Aug 2024.pdf p. 4
02/16/2025	Bank Interest BANK INT ,.,918 SCHWAB BANK	$ 0.02		Interest / money fund dividend	Schwab One history Sep 2024-Mar
02/28/2025	Cash SWVXX SCHWAB PRIME ADVANTAGE MONEY INVESTOR	$ 0.06		Interest / money fund dividend	Schwab One history Sep 2024-Mar
Charles Schwab One (acct xxxx6159) – Subtotal			**$ 2.38**		
Victory Funds (acct xxxx2876)					
08/31/2024	TAX EXEMPT INTERMEDIATE-TERM FUND - REINVEST DIV DISTRIBUTION	$ 50.06		Warning: Victory history May 2024-Jun 2025.pdf is a scanned PDF with an image defect that makes the highlighted amount	Victory history May 2024-Jun 2025.p
08/31/2024	MONEY MARKET FUND - REINVEST DIV DISTRIBUTION	$ 208.38		Money market dividend, reinvested at $1.00 a share (the account's cash)	Victory history May 2024-Jun 2025.p
08/31/2024	CALIFORNIA BOND FUND - REINVEST DIV DISTRIBUTION	$ 64.27		Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
08/31/2024	TAX EXEMPT SHORT-TERM FUND - REINVEST DIV DISTRIBUTION	$ 31.59		Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025 (
09/17/2024	INCOME FUND - REINVEST DIV DISTRIBUTION	$ 140.85		Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
09/17/2024	HIGH INCOME FUND - REINVEST DIV DISTRIBUTION	$ 180.78		Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
09/28/2024	TAX EXEMPT INTERMEDIATE-TERM FUND - REINVEST DIV DISTRIBUTION	$ 42.69		Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
09/28/2024	MONEY MARKET FUND - REINVEST DIV DISTRIBUTION	$ 169.59		Money market dividend, reinvested at $1.00 a share (the account's cash)	Victory history May 2024-Jun 2025.p
09/28/2024	CALIFORNIA BOND FUND - REINVEST DIV DISTRIBUTION	$ 53.77		Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p

09/28/2024	TAX EXEMPT SHORT-TERM FUND - REINVEST DIV DISTRIBUTION	$	26.96	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
10/12/2024	AGGRESSIVE GROWTH FUND - REINVEST ST CAP GAIN DIST	$	110.63	Short-term capital gain distribution, taxed as an ordinary dividend: income per UFIPA §16340(c). Only a capital gain dividend (long-	Victory history May 2024-Jun 2025.p
10/12/2024	EMERGING MARKETS FUND - REINVEST ST CAP GAIN DIST	$	193.12	Short-term capital gain distribution, taxed as an ordinary dividend: income per UFIPA §16340(c). Only a capital gain dividend (long-	Victory history May 2024-Jun 2025.p
10/12/2024	INTERNATIONAL FUND - REINVEST ST CAP GAIN DIST	$	454.95	Short-term capital gain distribution, taxed as an ordinary dividend: income per UFIPA §16340(c). Only a capital gain dividend (long-	Victory history May 2024-Jun 2025.p
10/12/2024	NASDAQ-100 INDEX FUND - REINVEST ST CAP GAIN DIST	$	190.70	Short-term capital gain distribution, taxed as an ordinary dividend: income per UFIPA §16340(c). Only a capital gain dividend (long-	Victory history May 2024-Jun 2025.p
10/12/2024	500 INDEX FUND REWARD - REINVEST ST CAP GAIN DIST	$	49.21	Short-term capital gain distribution, taxed as an ordinary dividend: income per UFIPA §16340(c). Only a capital gain dividend (long-	Victory history May 2024-Jun 2025.p
10/18/2024	PRECIOUS METALS & MINERALS FUND - REINVEST DIV DISTRIBUTION	$	72.62	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
10/18/2024	INCOME FUND - REINVEST DIV DISTRIBUTION	$	250.28	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
10/18/2024	EMERGING MARKETS FUND - REINVEST DIV DISTRIBUTION	$	503.82	Warning: Victory history May 2024-Jun 2025.pdf is a scanned PDF with an image defect that makes the highlighted amount	Victory history May 2024-Jun 2025.p
10/18/2024	HIGH INCOME FUND - REINVEST DIV DISTRIBUTION	$	115.51	Warning: Victory history May 2024-Jun 2025.pdf is a scanned PDF with an image defect that makes the highlighted amount	Victory history May 2024-Jun 2025.p
10/18/2024	INTERNATIONAL FUND - REINVEST DIV DISTRIBUTION	$	845.11	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
10/18/2024	NASDAQ-100 INDEX FUND - REINVEST DIV DISTRIBUTION	$	396.15	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
10/18/2024	500 INDEX FUND REWARD - REINVEST DIV DISTRIBUTION	$	449.78	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
10/31/2024	TAX EXEMPT INTERMEDIATE-TERM FUND - REINVEST DIV DISTRIBUTION	$	49.30	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
10/31/2024	MONEY MARKET FUND - REINVEST DIV DISTRIBUTION	$	186.41	Money market dividend, reinvested at $1.00 a share (the account's cash)	Victory history May 2024-Jun 2025.p
10/31/2024	CALIFORNIA BOND FUND - REINVEST DIV DISTRIBUTION	$	64.85	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
10/31/2024	TAX EXEMPT SHORT-TERM FUND - REINVEST DIV DISTRIBUTION	$	30.67	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025 (
11/14/2024	INCOME FUND - REINVEST DIV DISTRIBUTION	$	0.21	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
11/14/2024	HIGH INCOME FUND - REINVEST DIV DISTRIBUTION	$	102.41	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
11/30/2024	TAX EXEMPT INTERMEDIATE-TERM FUND - REINVEST DIV DISTRIBUTION	$	47.31	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
11/30/2024	MONEY MARKET FUND - REINVEST DIV DISTRIBUTION	$	175.26	Money market dividend, reinvested at $1.00 a share (the account's cash)	Victory history May 2024-Jun 2025.p
11/30/2024	CALIFORNIA BOND FUND - REINVEST DIV DISTRIBUTION	$	61.41	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
11/30/2024	TAX EXEMPT SHORT-TERM FUND - REINVEST DIV DISTRIBUTION	$	27.35	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p

12/11/2024	INCOME FUND - REINVEST DIV DISTRIBUTION	$	116.50	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
12/11/2024	HIGH INCOME FUND - REINVEST DIV DISTRIBUTION	$	107.64	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
12/27/2024	TAX EXEMPT INTERMEDIATE-TERM FUND - REINVEST DIV DISTRIBUTION	$	43.33	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
12/27/2024	MONEY MARKET FUND - REINVEST DIV DISTRIBUTION	$	158.35	Money market dividend, reinvested at $1.00 a share (the account's cash)	Victory lot table Nov 2024.pdf
12/27/2024	CALIFORNIA BOND FUND - REINVEST DIV DISTRIBUTION	$	56.42	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
12/27/2024	TAX EXEMPT SHORT-TERM FUND - REINVEST DIV DISTRIBUTION	$	26.58	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
01/16/2025	INCOME FUND - REINVEST DIV DISTRIBUTION	$	154.34	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
01/16/2025	HIGH INCOME FUND - REINVEST DIV DISTRIBUTION	$	166.88	Dividend / short-term gain distribution, reinvested	Victory lot table Nov 2024.pdf
01/16/2025	500 INDEX FUND REWARD - REINVEST DIV DISTRIBUTION	$	363.80	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
01/31/2025	TAX EXEMPT INTERMEDIATE-TERM FUND - REINVEST DIV DISTRIBUTION	$	46.89	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
01/31/2025	MONEY MARKET FUND - REINVEST DIV DISTRIBUTION	$	170.61	Money market dividend, reinvested at $1.00 a share (the account's cash)	Victory history May 2024-Jun 2025.p
01/31/2025	CALIFORNIA BOND FUND - REINVEST DIV DISTRIBUTION	$	60.85	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
01/31/2025	TAX EXEMPT SHORT-TERM FUND - REINVEST DIV DISTRIBUTION	$	28.09	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
02/15/2025	INCOME FUND - REINVEST DIV DISTRIBUTION	$	135.83	Warning: Victory history May 2024-Jun 2025.pdf is a scanned PDF with an image defect that makes the highlighted amount	Victory history May 2024-Jun 2025.p
02/15/2025	HIGH INCOME FUND - REINVEST DIV DISTRIBUTION	$	127.16	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
02/20/2025	TAX EXEMPT INTERMEDIATE-TERM FUND - REINVEST DIV DISTRIB ADJ	$	31.71	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
02/20/2025	MONEY MARKET FUND - REINVEST DIV DISTRIB ADJ	$	116.79	Money market dividend, reinvested at $1.00 a share (the account's cash)	Victory history May 2024-Jun 2025.p
02/20/2025	CALIFORNIA BOND FUND - REINVEST DIV DISTRIB ADJ	$	41.56	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
02/20/2025	TAX EXEMPT SHORT-TERM FUND - REINVEST DIV DISTRIB ADJ	$	20.31	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
02/28/2025	TAX EXEMPT INTERMEDIATE-TERM FUND - REINVEST DIV DISTRIBUTION	$	15.95	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
02/28/2025	MONEY MARKET FUND - REINVEST DIV DISTRIBUTION	$	58.05	Money market dividend, reinvested at $1.00 a share (the account's cash)	Victory history May 2024-Jun 2025.p
02/28/2025	TAX EXEMPT SHORT-TERM FUND - REINVEST DIV DISTRIBUTION	$	9.97	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
03/13/2025	INCOME FUND - REINVEST DIV DISTRIBUTION	$	122.11	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p

03/29/2025	TAX EXEMPT INTERMEDIATE-TERM FUND - REINVEST DIV DISTRIBUTION	$	48.94	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
03/29/2025	MONEY MARKET FUND - REINVEST DIV DISTRIBUTION	$	179.56	Money market dividend, reinvested at $1.00 a share (the account's cash)	Victory history May 2024-Jun 2025.p
03/29/2025	TAX EXEMPT SHORT-TERM FUND - REINVEST DIV DISTRIBUTION	$	29.76	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
04/15/2025	INCOME FUND - REINVEST DIV DISTRIBUTION	$	141.63	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
04/15/2025	500 INDEX FUND REWARD - REINVEST DIV DISTRIBUTION	$	350.75	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
04/30/2025	TAX EXEMPT INTERMEDIATE-TERM FUND - REINVEST DIV DISTRIBUTION	$	48.13	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
04/30/2025	MONEY MARKET FUND - REINVEST DIV DISTRIBUTION	$	172.51	Money market dividend, reinvested at $1.00 a share (the account's cash)	Victory history May 2024-Jun 2025.p
04/30/2025	TAX EXEMPT SHORT-TERM FUND - REINVEST DIV DISTRIBUTION	$	29.57	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
05/31/2025	TAX EXEMPT INTERMEDIATE-TERM FUND - REINVEST DIV DISTRIBUTION	$	51.82	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
05/31/2025	MONEY MARKET FUND - REINVEST DIV DISTRIBUTION	$	189.66	Money market dividend, reinvested at $1.00 a share (the account's cash)	Victory history May 2024-Jun 2025.p
05/31/2025	CALIFORNIA BOND FUND - REINVEST DIV DISTRIBUTION	$	68.09	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
05/31/2025	TAX EXEMPT SHORT-TERM FUND - REINVEST DIV DISTRIBUTION	$	32.04	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
06/06/2025	TAX EXEMPT INTERMEDIATE-TERM FUND - REINVEST DIV DISTRIB ADJ	$	6.29	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
06/06/2025	MONEY MARKET FUND - REINVEST DIV DISTRIB ADJ	$	22.76	Money market dividend, reinvested at $1.00 a share (the account's cash)	Victory history May 2024-Jun 2025.p
06/06/2025	CALIFORNIA BOND FUND - REINVEST DIV DISTRIB ADJ	$	8.06	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
06/06/2025	TAX EXEMPT SHORT-TERM FUND - REINVEST DIV DISTRIB ADJ	$	3.71	Dividend / short-term gain distribution, reinvested	Victory history May 2024-Jun 2025.p
Victory Funds (acct xxxx2876) – Subtotal			**$ 8,909.00**		

Victory Funds Roth IRA (acct xxxx7405)					
09/17/2024	HIGH INCOME FUND - REINVEST DIV DISTRIBUTION	$	32.03	Dividend / short-term gain distribution, reinvested	Victory Roth history Nov 2023-Apr 2
10/12/2024	AGGRESSIVE GROWTH FUND - REINVEST ST CAP GAIN DIST	$	59.04	Short-term capital gain distribution, taxed as an ordinary dividend: income per UFIPA §16340(c). Only a capital gain dividend (long-	Victory Roth history Nov 2023-Apr 2
10/12/2024	CAPITAL GROWTH FUND - REINVEST ST CAP GAIN DIST	$	8.14	Short-term capital gain distribution, taxed as an ordinary dividend: income per UFIPA §16340(c). Only a capital gain dividend (long-	Victory Roth history Nov 2023-Apr 2
10/12/2024	INTERNATIONAL FUND - REINVEST ST CAP GAIN DIST	$	37.83	Short-term capital gain distribution, taxed as an ordinary dividend: income per UFIPA §16340(c). Only a capital gain dividend (long-	Victory Roth history Nov 2023-Apr 2
10/12/2024	NASDAQ-100 INDEX FUND - REINVEST ST CAP GAIN DIST	$	70.30	Short-term capital gain distribution, taxed as an ordinary dividend: income per UFIPA §16340(c). Only a capital gain dividend (long-	Victory Roth history Nov 2023-Apr 2
10/18/2024	SMALL CAP STOCK FUND - REINVEST DIV DISTRIBUTION	$	24.91	Dividend / short-term gain distribution, reinvested	Victory Roth history Nov 2023-Apr 2

10/18/2024	CAPITAL GROWTH FUND - REINVEST DIV DISTRIBUTION	$	28.92		Dividend / short-term gain distribution, reinvested	Victory Roth history Nov 2023-Apr 2
10/18/2024	HIGH INCOME FUND - REINVEST DIV DISTRIBUTION	$	20.46		Dividend / short-term gain distribution, reinvested	Victory Roth history Nov 2023-Apr 2
10/18/2024	INTERNATIONAL FUND - REINVEST DIV DISTRIBUTION	$	70.28		Dividend / short-term gain distribution, reinvested	Victory Roth history Nov 2023-Apr 2
10/18/2024	NASDAQ-100 INDEX FUND - REINVEST DIV DISTRIBUTION	$	146.04		Dividend / short-term gain distribution, reinvested	Victory Roth history Nov 2023-Apr 2
11/14/2024	HIGH INCOME FUND - REINVEST DIV DISTRIBUTION	$	18.14		Dividend / short-term gain distribution, reinvested	Victory Roth history Nov 2023-Apr 2
12/11/2024	HIGH INCOME FUND - REINVEST DIV DISTRIBUTION	$	19.07		Dividend / short-term gain distribution, reinvested	Victory Roth history Nov 2023-Apr 2
01/16/2025	HIGH INCOME FUND - REINVEST DIV DISTRIBUTION	$	29.55		Dividend / short-term gain distribution, reinvested	Victory Roth history Nov 2023-Apr 2
02/15/2025	HIGH INCOME FUND - REINVEST DIV DISTRIBUTION	$	22.53		Warning: Victory Roth history Nov 2023-Apr 2025.pdf is a scanned PDF with an image defect that makes the highlighted amount	Victory Roth history Nov 2023-Apr 2
04/15/2025	HIGH INCOME FUND - REINVEST DIV DISTRIBUTION	$	21.41		Dividend / short-term gain distribution, reinvested	Victory Roth history Nov 2023-Apr 2
05/15/2025	HIGH INCOME FUND - REINVEST DIV DISTRIBUTION	$	19.86		Warning: Victory Roth lot table Feb 2025.pdf is a scanned PDF with an image defect that makes the highlighted amount unreadable.	Victory Roth lot table Feb 2025.pdf
Victory Funds Roth IRA (acct xxxx7405) – Subtotal				**$ 628.51**		

TOTAL INCOME RECEIVED		**$ 10,836.36**

Schedule C – Gains on Sales

California Probate Code §§ 1061(a)(5), 1062(d)

Estate of Walter R. Pemberton

Period: 08/19/2024 – 07/31/2025

Date	Symbol	Security / Description	Quantity	Basis/Share	Carry Value	Sale Proceeds	Gain	Total	*Notes (attorney use)*	*Trace*
Real Property										
04/10/2025		31845 Larkspur Circle, Temecula, CA 92592 (APN 952-114-37; I&A Attachment 2, item 1b)			$ 1,276,472.00	$ 1,322,746.00	$ 46,274.00		Proceeds $1,216,522.60 received ($1,216,522.60 on 04/10/2025) are this asset turning into cash, not a receipt, so they are not shown on Schedule B; the money is in the closing cash balance. Gross proceeds	Seller's Final Statement.pdf p. 1
Real Property – Subtotal								**$ 46,274.00**		
Charles Schwab IRA (acct xxxx3348)										
02/10/2025	USIFX	Sell USIFX VICTORYINTERNATIONAL	1,455.035	$ 22.96	$ 33,411.22	$ 42,253.20	$ 8,841.98		Realized gain — currently classified as principal per UFIPA §16343(b). [Basis: Gain $8,841.98 = proceeds $42,253.20 - carry	Schwab IRA history Aug 2024-Mar 2
02/10/2025	USAWX	Sell USAWX VICTORY SUSTAINABLE WORLD	812.649	$ 22.76	$ 18,493.98	$ 23,229.81	$ 4,735.83		Realized gain — currently classified as principal per UFIPA §16343(b). [Basis: Gain $4,735.83 = proceeds $23,229.81 - carry	Schwab IRA history Aug 2024-Mar 2
02/10/2025	USSPX	Sell USSPX VICTORY...5OO INDEXIVIEMBER	651.612	$ 29.61	$ 19,295.22	$ 46,839.39	$ 27,544.17		Realized gain — currently classified as principal per UFIPA §16343(b). [Renamed holding: 651.612 shares were listed as	Schwab IRA history Aug 2024-Mar 2
02/10/2025	USAGX	Sell USAGX VICTORY PRECIOUS IVETALS AND MINERALS	620.223	$ 8.07	$ 5,005.57	$ 30,119.16	$ 25,113.59		Realized gain — currently classified as principal per UFIPA §16343(b). [Renamed holding: 620.223 shares were listed as	Schwab IRA history Aug 2024-Mar 2
02/10/2025	UVALX	Sell UVALX VICTORY VALUE	1,404.157	$ 15.33	$ 21,521.51	$ 24,691.02	$ 3,169.51		Realized gain — currently classified as principal per UFIPA §16343(b). [Basis: Gain $3,169.51 = proceeds $24,691.02 - carry	Schwab IRA history Aug 2024-Mar 2
02/10/2025	USGRX	Sell USGRX VICTORY GROWTH & INCOME	1,367.609	$ 18.08	$ 24,732.16	$ 30,579.86	$ 5,847.70		Realized gain — currently classified as principal per UFIPA §16343(b). [Basis: Gain $5,847.70 = proceeds $30,579.86 - carry	Schwab IRA history Aug 2024-Mar 2
02/10/2025	USSCX	Sell USSCX VICTORY SCIENCE & TECHNOLOGY	1,632.515	$ 19.58	$ 31,962.22	$ 44,456.63	$ 12,494.41		Realized gain — currently classified as principal per UFIPA §16343(b). [Basis: Gain $12,494.41 = proceeds $44,456.63 - carry	Schwab IRA history Aug 2024-Mar 2
02/10/2025	USEMX	Sell USEMX VICTORY EMERGING IVARKETS	789.548	$ 11.81	$ 9,325.44	$ 19,687.96	$ 10,362.52		Realized gain — currently classified as principal per UFIPA §16343(b). [Renamed holding: 789.548 shares were listed as	Schwab IRA history Aug 2024-Mar 2
02/10/2025	USISX	Sell USISX VICTORY INCOME STOCK	1,752.653	$ 15.03	$ 26,335.06	$ 29,487.75	$ 3,152.69		Realized gain — currently classified as principal per UFIPA §16343(b). [Basis: Gain $3,152.69 = proceeds $29,487.75 - carry	Schwab IRA history Aug 2024-Mar 2
02/10/2025	USMIX	Sell USMIX VICTORY EXTENDED MARKET INDEX	1,853.691	$ 16.26	$ 30,133.38	$ 35,929.76	$ 5,796.38		Realized gain — currently classified as principal per UFIPA §16343(b). [Basis: Gain $5,796.38 = proceeds $35,929.76 - carry	Schwab IRA history Aug 2024-Mar 2
02/10/2025	USAUX	Sell USAUX VICTORYAGGRESSIVEGROWTH	783.388	$ 35.07	$ 27,469.78	$ 49,034.25	$ 21,564.47		Realized gain — currently classified as principal per UFIPA §16343(b). [Basis: Gain $21,564.47 = proceeds $49,034.25 - carry	Schwab IRA history Aug 2024-Mar 2
Charles Schwab IRA (acct xxxx3348) – Subtotal								**$ 128,623.25**		
Charles Schwab One (acct xxxx6159)										
02/10/2025	VTRS	Sell VTRS VIATRIS INC	14.624	$ 10.85	$ 158.68	$ 172.37	$ 13.69		Realized gain — currently classified as principal per UFIPA §16343(b). [Basis: Gain $13.69 = proceeds $172.37 - carry $158.68	Schwab One history Sep 2024-Mar 2
02/10/2025	CCL	Sell CCL CARNIVAL CORP F	49.151	$ 13.53	$ 665.00	$ 1,189.19	$ 524.19		Realized gain — currently classified as principal per UFIPA §16343(b). [Basis: Gain $524.19 = proceeds $1,189.19 - carry $665.00	Schwab One history Sep 2024-Mar 2
02/10/2025	BAC	Sell BAC BANK OF AMERICA CORP	168.218	$ 20.45	$ 3,440.84	$ 7,748.92	$ 4,308.08		Realized gain — currently classified as principal per UFIPA §16343(b). [Basis: Gain $4,308.08 = proceeds $7,748.92 - carry	Schwab One history Sep 2024-Mar 2
02/10/2025	BA	Sell BA BOEING CO	49.000	$ 134.11	$ 6,571.58	$ 9,280.04	$ 2,708.46		Warning: Schwab One history Sep 2024-Mar 2025.pdf is a scanned PDF with an image defect that makes the highlighted amount	Schwab One history Sep 2024-Mar 2
Charles Schwab One (acct xxxx6159) – Subtotal								**$ 7,554.42**		
Victory Funds (acct xxxx2876)										
06/06/2025	USAGX	PRECIOUS METALS & MINERALS FUND - ACH REDEMPTION	723.723	$ 14.28	$ 10,335.31	$ 29,730.08	$ 19,394.77		Realized gain — currently classified as principal per UFIPA §16343(b). [Basis: Gain $19,394.77 = proceeds $29,730.08 - carry	Victory history May 2024-Jun 2025.p
06/06/2025	USAUX	AGGRESSIVE GROWTH FUND - ACH REDEMPTION	794.721	$ 35.63	$ 28,312.80	$ 55,724.77	$ 27,411.97		Realized gain — currently classified as principal per UFIPA §16343(b). [Basis: Gain $27,411.97 = proceeds $55,724.77 - carry	Victory history May 2024-Jun 2025.p
06/06/2025	USEMX	EMERGING MARKETS FUND - ACH REDEMPTION	879.464	$ 12.96	$ 11,399.63	$ 24,225.98	$ 12,826.35		Realized gain — currently classified as principal per UFIPA §16343(b). [Basis: Gain $12,826.35 = proceeds $24,225.98 - carry	Victory history May 2024-Jun 2025.p
06/06/2025	USIFX	INTERNATIONAL FUND - ACH REDEMPTION	1,324.337	$ 22.04	$ 29,185.77	$ 40,943.82	$ 11,758.05		Realized gain — currently classified as principal per UFIPA §16343(b). [Basis: Gain $11,758.05 = proceeds $40,943.82 - carry	Victory history May 2024-Jun 2025.p
06/06/2025	USNQX	NASDAQ-100 INDEX FUND - ACH REDEMPTION	4,000.230	$ 11.23	$ 44,938.49	$ 245,983.88	$ 201,045.39		Realized gain — currently classified as principal per UFIPA §16343(b). [Basis: Gain $201,045.39 = proceeds $245,983.88 - carry	Victory history May 2024-Jun 2025.p

06/06/2025	USPRX	500 INDEX FUND REWARD - ACH REDEMPTION	2,229.912	$ 72.75	$ 162,216.59	$ 181,960.66	$ 19,744.07		Realized gain — currently classified as principal per UFIPA §16343(b). [Basis: Gain $19,744.07 = proceeds $181,960.66 - carry	Victory history May 2024-Jun 2025.p
06/06/2025	USSCX	SCIENCE & TECHNOLOGY FUND - ACH REDEMPTION	4,808.039	$ 18.13	$ 87,178.01	$ 166,992.42	$ 79,814.41		Realized gain — currently classified as principal per UFIPA §16343(b). [Basis: Gain $79,814.41 = proceeds $166,992.42 - carry	Victory history May 2024-Jun 2025.p
Victory Funds (acct xxxx2876) – Subtotal								**$ 371,995.01**		

Victory Funds Roth IRA (acct xxxx7405)										
06/10/2025	USAUX	AGGRESSIVE GROWTH FUND - ACH REDEMPTION	424.090	$ 68.36	$ 28,989.29	$ 30,077.27	$ 1,087.98		Realized gain — currently classified as principal per UFIPA §16343(b). [Basis: Gain $1,087.98 = proceeds $30,077.27 - carry	Victory Roth history Nov 2023-Apr 2
06/10/2025	USCAX	SMALL CAP STOCK FUND - ACH REDEMPTION	680.892	$ 12.11	$ 8,247.46	$ 10,272.73	$ 2,025.27		Realized gain — currently classified as principal per UFIPA §16343(b). [Basis: Gain $2,025.27 = proceeds $10,272.73 - carry	Victory Roth history Nov 2023-Apr 2
06/10/2025	USCGX	CAPITAL GROWTH FUND - ACH REDEMPTION	227.138	$ 12.71	$ 2,887.01	$ 3,137.33	$ 250.32		Realized gain — currently classified as principal per UFIPA §16343(b). [Basis: Gain $250.32 = proceeds $3,137.33 - carry	Victory Roth history Nov 2023-Apr 2
06/10/2025	USIFX	INTERNATIONAL FUND - ACH REDEMPTION	110.134	$ 28.47	$ 3,135.64	$ 3,418.41	$ 282.77		Realized gain — currently classified as principal per UFIPA §16343(b). [Basis: Gain $282.77 = proceeds $3,418.41 - carry	Victory Roth history Nov 2023-Apr 2
06/10/2025	USNQX	NASDAQ-100 INDEX FUND - ACH REDEMPTION	1,474.672	$ 53.43	$ 78,793.69	$ 91,453.69	$ 12,660.00		Warning: Victory Roth lot table Feb 2025.pdf is a scanned PDF with an image defect that makes the highlighted amount unreadable. This	Victory Roth lot table Feb 2025.pdf
Victory Funds Roth IRA (acct xxxx7405) – Subtotal								**$ 16,306.34**		

TOTAL GAINS ON SALES		**$ 570,753.02**

Securities sold: carry value first in, first out (FIFO).

Schedule F – Disbursements of Principal

California Probate Code §§ 1061(a)(6), 1062(b)

Estate of Walter R. Pemberton

Period: 08/19/2024 – 07/31/2025

Date	Payee	Description	Amount	Total	*Notes (attorney use)*	*Trace*
Settlement Statement - sale of 31845 Larkspur Circle, Temecula, CA 92592						
04/10/2025	Harbor Point Escrow, Inc. (settlement agent)	Seller's charges per the Final Seller's Statement, Harbor Point Escrow HP2047713-LK (real estate commissions to Coastline Realty and Bayview Properties, owner's title insurance, sub-escrow fee, Riverside County transfer tax, 2nd-half property taxes, escrow, processing and FedEx fees, HOA transfer fee, notary, home warranty, natural hazard disclosure, seller credit toward buyer's closing costs) - the statement's debit subtotal; its line amounts are not legible in the uploaded copy - paid out of escrow at closing (Final Seller's Statement, escrow HP2047713-LK)	$ 106,956.12		Cost of sale per Seller's Final Statement.pdf.	Seller's Final Statement.pdf
Settlement Statement - sale of 31845 Larkspur Circle, Temecula, CA 92592 – Subtotal				**$ 106,956.12**		
Ashford Private Bank Private Checking (acct xxxx4817)						
04/03/2025	SO CAL EDISON CO	SO CAL EDISON CO PEMBERTON KEITH DANIEL ACH DEBIT BILL PAYMT 878384601815	$ 183.12		Withdrawal	Bank statements 2.pdf p. 5
04/17/2025	SO CAL EDISON CO	SO CAL EDISON CO PEMBERTON KEITH DANIEL ACH DEBIT BILL PAYMT 878384601815	$ 88.41		Withdrawal	Bank statements 2.pdf p. 5
Subtotal – SO CAL EDISON CO				**$ 271.53**		
02/28/2025		Check 2241	$ 43.66		Check	Bank statements 2.pdf p. 11
02/28/2025		Check 2239	$ 250.34		Check	Bank statements 2.pdf p. 11
02/28/2025		Check 628049	$ 1,804.07		Check	Bank statements 2.pdf p. 11
02/28/2025	ST OF CADMV	ST OF CADMV WALTER R PEMBERTON ACH DEBIT PURCHASE 512154637321436	$ 135.00		Withdrawal	Bank statements 2.pdf p. 11
03/01/2025		Check 2238	$ 328.82		Check	Bank statements 2.pdf p. 8
03/01/2025		Check 2240	$ 70.91		Check	Bank statements 2.pdf p. 8
03/04/2025		Check 2278	$ 9,017.61		Check	Bank statements 2.pdf p. 8
03/05/2025		Check 2237	$ 214.00		Check	Bank statements 2.pdf p. 8
03/07/2025		Check 2243	$ 1,135.00		Check	Bank statements 2.pdf p. 8
03/19/2025		Check 2245	$ 10,440.08		Check	Bank statements 2.pdf p. 8

03/27/2025		Check 2244	$	2,205.00		Check	Bank statements 2.pdf p. 8
03/28/2025		Check 2242	$	437.00		Check	Bank statements 2.pdf p. 8
04/02/2025		Check 2248	$	4,021.72		Check	Bank statements 2.pdf p. 5
04/03/2025	CITY OF TEMECULA	CITY OF TEMECULA PEMBERTON *DANIEL ACH DEBIT UTILITY 1998198	$	82.12		Withdrawal	Bank statements 2.pdf p. 5
04/04/2025	AMERICAN EXPRESS	AMERICAN EXPRESS OF WALTER R PEMBERTON EST ACH DEBIT PURCHASE 07215538604 3172	$	479.00		Withdrawal	Bank statements 2.pdf p. 5
04/05/2025		Check 2249	$	389.27		Check	Bank statements 2.pdf p. 5
04/11/2025		Check 2247	$	437.00		Check	Bank statements 2.pdf p. 5
04/15/2025	SOCALGAS	SOCALGAS 619899416394934835 ACH DEBIT PAID SCGC 7880120940	$	22.26		Withdrawal	Bank statements 2.pdf p. 5
04/15/2025		Check 2246	$	122.00		Check	Bank statements 2.pdf p. 5
04/30/2025	US TREASURY IRS	US TREASURY IRS PJPM72164420240048 ACH DEBIT PAYMENT 2250	$	3,704.00		Withdrawal	Bank statements 2.pdf p. 5
05/13/2025	BRADSHAW PROPERT	BRADSHAW PROPERT daniel pemberton ACH DEBIT WEB PMTS_ _KQM42T	$	9,342.00		Withdrawal	Bank statements 2.pdf p. 1
06/12/2025	FOREMOST	FOREMOST DANIEL PEMBERTON ACH DEBIT EPM PYMT 7747217642461	$	1,178.90		Withdrawal	Bank statements 4.pdf p. 5
07/01/2025	USAA P&C	USAA P&C Dan K Pemberton ACH DEBIT PAYMENT 0435347	$	832.77		Withdrawal	Bank statements 4.pdf p. 2
07/10/2025	Margaret Ellison, Probate Referee	Check 2251 - probate referee's statutory commission $3,665.82 and expenses $75.09 (Inventory and Appraisal, Declaration of Probate Referee)	$	3,740.91		Check Per Inventory and Appraisal.pdf.	Bank statements 4.pdf p. 2
07/21/2025		Check 2253	$	5,194.19		Check	Bank statements 4.pdf p. 2
07/22/2025		Check 2252	$	1,858.93		Check	Bank statements 4.pdf p. 2
Subtotal – Miscellaneous					**$ 57,486.56**		
Ashford Private Bank Private Checking (acct xxxx4817) – Subtotal					**$ 57,758.09**		

Charles Schwab IRA (acct xxxx3348)							
02/14/2025	Charles Schwab	Service Fee WIRED FUNDS FEE	$	21.83		Warning: Schwab IRA history Aug 2024-Mar 2025.pdf is a scanned PDF with an image defect that makes the highlighted amount	Schwab IRA history Aug 2024-Mar 2
03/18/2025	Charles Schwab	Service Fee WIRED FUNDS FEE	$	21.83		Wire fee	Schwab IRA history Aug 2024-Mar 2
Subtotal – Charles Schwab					**$ 43.66**		
Charles Schwab IRA (acct xxxx3348) – Subtotal					**$ 43.66**		

Charles Schwab One (acct xxxx6159)							
02/14/2025	Charles Schwab	Service Fee WIRED FUNDS FEE	$	13.10		Wire fee	Schwab One history Sep 2024-Mar
03/18/2025	Charles Schwab	Service Fee WIRED FUNDS FEE	$	13.10		Wire fee	Schwab One history Sep 2024-Mar
Subtotal – Charles Schwab					**$ 26.20**		

02/10/2025		Commission and fees on sale of PFIZER INC	$	0.08		Cost of sale (Prob. Code 16361(a)(3)): gross $3,005.80 less net $3,005.72 received, per the statement. Schwab One history Sep 2024-Mar
02/10/2025		Commission and fees on sale of CARNIVAL CORP F	$	0.03		Cost of sale (Prob. Code 16361(a)(3)): gross $1,189.19 less net $1,189.15 received, per the statement. Schwab One history Sep 2024-Mar
02/10/2025		Commission and fees on sale of BANK OF AMERICA CORP	$	0.18		Cost of sale (Prob. Code 16361(a)(3)): gross $7,748.92 less net $7,748.74 received, per the statement. Schwab One history Sep 2024-Mar
02/10/2025		Commission and fees on sale of BOEING CO	$	0.20		Cost of sale (Prob. Code 16361(a)(3)): gross $9,280.04 less net $9,279.84 received, per the statement. Schwab One history Sep 2024-Mar
Subtotal – Miscellaneous					**$ 0.49**	
Charles Schwab One (acct xxxx6159) – Subtotal					**$ 26.69**	

TOTAL PRINCIPAL DISBURSEMENTS		**$ 164,784.56**

Schedule G – Disbursements of Income

California Probate Code §§ 1061(a)(6), 1062(b)

Estate of Walter R. Pemberton

Period: 08/19/2024 – 07/31/2025

Date	Description	Amount	Total	*Notes (attorney use)*

TOTAL INCOME DISBURSEMENTS		**$ 0.00**

Schedule D – Losses on Sales

California Probate Code §§ 1061(a)(7), 1062(d)

Estate of Walter R. Pemberton

Period: 08/19/2024 – 07/31/2025

Date	Symbol	Security	Quantity	Basis/Share	Carry Value	Sale Proceeds	Loss	Total	*Notes (attorney use)*	*Trace*
Charles Schwab IRA (acct xxxx3348)										
02/10/2025	USCAX	Sell USCAX VICTORY SMALL CAP STOCK	1,934.285	$ 13.61	$ 26,322.11	$ 24,048.86	$ 2,273.25		Realized loss — currently classified as principal per UFIPA §16343(b). [Basis: Loss $2,273.25 = carry $26,322.11 - proceeds $24,048.86. Uses	Schwab IRA history Aug 2024-Mar 2
02/10/2025	USIBX	Sell USIBX VICTORY CORE PLUS INTERI/EDIATE BOND FUND	1,481.220	$ 9.30	$ 13,768.29	$ 11,918.56	$ 1,849.73		Warning: Schwab IRA history Aug 2024-Mar 2025.pdf is a scanned PDF with an image defect that makes the highlighted amount	Schwab IRA history Aug 2024-Mar 2
02/10/2025	USSBX	Sell USSBX VICTORY SHORT-TERIV BOND	1,478.690	$ 8.03	$ 11,873.34	$ 11,787.23	$ 86.11		Realized loss — currently classified as principal per UFIPA §16343(b). [Renamed holding: 1,478.690 shares were listed as	Schwab IRA history Aug 2024-Mar 2
02/10/2025	USHYX	Sell USHYX VICTORY HIGH INCOI\,,IE	3,254.674	$ 6.88	$ 22,378.51	$ 19,522.18	$ 2,856.33		Warning: Schwab IRA history Aug 2024-Mar 2025.pdf is a scanned PDF with an image defect that makes the highlighted amount	Schwab IRA history Aug 2024-Mar 2
Charles Schwab IRA (acct xxxx3348) – Subtotal								**$ 7,065.42**		
Charles Schwab One (acct xxxx6159)										
02/10/2025	PFE	Sell PFE PFIZER INC	128.065	$ 26.16	$ 3,350.49	$ 3,005.80	$ 344.69		Realized loss — currently classified as principal per UFIPA §16343(b). [Basis: Loss $344.69 = carry $3,350.49 - proceeds $3,005.80. Uses the	Schwab One history Sep 2024-Mar
Charles Schwab One (acct xxxx6159) – Subtotal								**$ 344.69**		
Victory Funds (acct xxxx2876)										
06/06/2025	USAIX	INCOME FUND - ACH REDEMPTION	3,939.052	$ 10.27	$ 40,454.71	$ 39,481.86	$ 972.85		Realized loss — currently classified as principal per UFIPA §16343(b). [Basis: Loss $972.85 = carry $40,454.71 - proceeds $39,481.86. Carry	Victory history May 2024-Jun 2025.p
06/06/2025	USATX	TAX EXEMPT INTERMEDIATE-TERM FUND - ACH REDEMPTION	1,512.034	$ 11.03	$ 16,673.53	$ 16,528.37	$ 145.16		Realized loss — currently classified as principal per UFIPA §16343(b). [Basis: Loss $145.16 = carry $16,673.53 - proceeds $16,528.37. Carry	Victory history May 2024-Jun 2025.p
06/06/2025	USCBX	CALIFORNIA BOND FUND - ACH REDEMPTION	2,369.319	$ 8.90	$ 21,097.02	$ 20,976.13	$ 120.89		Realized loss — currently classified as principal per UFIPA §16343(b). [Basis: Loss $120.89 = carry $21,097.02 - proceeds $20,976.13. Carry	Victory history May 2024-Jun 2025.p
06/06/2025	USHYX	HIGH INCOME FUND - ACH REDEMPTION	3,465.763	$ 5.99	$ 20,743.19	$ 20,637.03	$ 106.16		Realized loss — currently classified as principal per UFIPA §16343(b). [Basis: Loss $106.16 = carry $20,743.19 - proceeds $20,637.03. Carry	Victory history May 2024-Jun 2025.p
06/06/2025	USSTX	TAX EXEMPT SHORT-TERM FUND - ACH REDEMPTION	1,429.784	$ 9.04	$ 12,919.75	$ 12,845.47	$ 74.28		Realized loss — currently classified as principal per UFIPA §16343(b). [Basis: Loss $74.28 = carry $12,919.75 - proceeds $12,845.47. Carry	Victory history May 2024-Jun 2025.p
Victory Funds (acct xxxx2876) – Subtotal								**$ 1,419.34**		
Victory Funds Roth IRA (acct xxxx7405)										
06/10/2025	USHYX	HIGH INCOME FUND - ACH REDEMPTION	613.873	$ 6.05	$ 3,715.40	$ 3,655.33	$ 60.07		Realized loss — currently classified as principal per UFIPA §16343(b). [Basis: Loss $60.07 = carry $3,715.40 - proceeds $3,655.33. Carry =	Victory Roth history Nov 2023-Apr 2
Victory Funds Roth IRA (acct xxxx7405) – Subtotal								**$ 60.07**		
							TOTAL LOSSES ON SALES	**$ 8,889.52**		

Securities sold: carry value first in, first out (FIFO).

Schedule I – Distributions to Beneficiaries

California Probate Code §§ 1061(a)(9), 1062(e)

Estate of Walter R. Pemberton

Period: 08/19/2024 – 07/31/2025

Date	Beneficiary	Description	Amount	% Interest	Notes

TOTAL DISTRIBUTIONS	**$ 0.00**

Schedule H – Assets on Hand at End of Period

California Probate Code §§ 1061(a)(10), 1062(f)

Estate of Walter R. Pemberton

Period: 08/19/2024 – 07/31/2025

Date	Symbol	Security / Description	Quantity	Basis/Share	Carry Value	Total	*Notes (attorney use)*	*Trace*
Real Property								
07/31/2025		8317 Canyon Oak Way, Citrus Heights, CA 95610 (APN 243-0272-031; I&A Attachment 2, item 1a)			$ 292,488.00		Per Inventory and Appraisal.pdf.	Inventory and Appraisal.pdf
07/31/2025		1126 Sycamore Dr., Hanford, CA 93230 (APN 017-322-041-000; I&A Attachment 2, item 1c)			$ 410,357.00		Per Inventory and Appraisal.pdf.	Inventory and Appraisal.pdf
Real Property – Subtotal						**$ 702,845.00**		
Coin Collection								
07/31/2025		US Mint non-gold coins (I&A Attachment 2, item 7a)			$ 32,698.60		Per Inventory and Appraisal.pdf.	Inventory and Appraisal.pdf
07/31/2025		US Mint gold/platinum coins (I&A Attachment 2, item 7b)			$ 267,839.00		Per Inventory and Appraisal.pdf.	Inventory and Appraisal.pdf
07/31/2025		Collectible coins (I&A Attachment 2, item 7, printed 'b. COLLECTIBLE COINS' on page 9)			$ 44,078.00		Per Inventory and Appraisal.pdf.	Inventory and Appraisal.pdf
Coin Collection – Subtotal						**$ 344,615.60**		
Personal Property								
07/31/2025		1966 Ford Mustang, VIN 6R07C148293, non-op (I&A Attachment 2, item 2b; not mentioned in the memo - shown as still on hand)			$ 3,230.00		Per Inventory and Appraisal.pdf.	Inventory and Appraisal.pdf
07/31/2025		2016 Honda Accord, VIN 1HGCR2F31GA051847 (I&A Attachment 2, item 2a) - the memo says it was sold 3/4/25; the sale price and the deposit of the proceeds are not in the uploaded documents, so it is shown at its inventory value until they are			$ 8,906.00		Per Inventory and Appraisal.pdf.	Inventory and Appraisal.pdf
Personal Property – Subtotal						**$ 12,136.00**		
Ashford Private Bank ICS Savings (acct xxxx817)								
Cash & Cash Equivalents								
07/31/2025		CASH BALANCE			$ 2,559,087.38		Ending balance printed on the statement	Bank statements 3.pdf p. 3
Ashford Private Bank ICS Savings (acct xxxx817) – Subtotal						**$ 2,559,087.38**		
Ashford Private Bank Private Checking (acct xxxx4817)								
Cash & Cash Equivalents								
07/31/2025		CASH BALANCE			$ 205,423.43		Ending balance printed on the statement	Bank statements 4.pdf p. 1
Ashford Private Bank Private Checking (acct xxxx4817) – Subtotal						**$ 205,423.43**		
TOTAL ASSETS – END OF PERIOD						**$ 3,824,107.41**		

Accounts with nothing on hand at the end of the period (for your information; not part of Schedule H):

Wells Fargo CD (acct xxxx9061): $0.00 on 02/21/2025. The CD was paid off on 02/21/2025.

Charles Schwab IRA (acct xxxx3348) — Brokerage Account: $0.00 on 03/18/2025. Nothing left: every holding was sold on 02/10/2025 and the cash wired to the estate's Ashford checking on 02/14/2025; the last wire, 03/18/2025 'Wire Sent IRA BENE DSTRIB -$41.47' = the 02/16/2025 bank interest $0.17 and $0.12 plus the 03/07/2025 journal $41.18 from ...3348, took what had posted since (Schwab IRA history Aug 2024-Mar 2025.pdf p. 55). The closing statements themselves are unreadable scans. (Schwab IRA history Aug 2024-Mar 2025.pdf p. 55)

Charles Schwab One (acct xxxx6159) — Brokerage Account: $0.00 on 03/18/2025. Nothing left: every holding was sold on 02/10/2025 and the cash wired to the estate's Ashford checking on 02/14/2025; the last wire, 03/18/2025 'WIRED FUNDS DISBURSED -$0.86' = the 02/16/2025 bank interest $0.02, the 02/28/2025 money fund dividend $0.06 and the 03/07/2025 journal $0.78 from ...159, took what had posted since (Schwab One history Sep 2024-Mar 2025.pdf p. 26). The closing statements themselves are unreadable scans. (Schwab One history Sep 2024-Mar 2025.pdf p. 26)

Schedule M – Transfers Between Accounts

Inter-Account Transfers

Estate of Walter R. Pemberton

Period: 08/19/2024 – 07/31/2025

Date	Description	From / To	Amount
02/14/2025	Wire Senl tRA BENE DSTRIB 4	Charles Schwab IRA (acct xxxx3348) — Brokerage Account to Ashford Private Bank Private Checking (acct xxxx4817)	$ 480,821.81
02/14/2025	Wire Sent WIRED FUNDS DISBURSED	Charles Schwab One (acct xxxx6159) — Brokerage Account to Ashford Private Bank Private Checking (acct xxxx4817)	$ 29,184.76
02/16/2025	TRANSFER TO ICS 4817	Ashford Private Bank Private Checking (acct xxxx4817) to Ashford Private Bank ICS Savings (acct xxxx817)	$ 301,220.00
02/21/2025	Account Payoff	Wells Fargo CD (acct xxxx9061) to Ashford Private Bank Private Checking (acct xxxx4817)	$ 6,598.05
03/18/2025	Wire Sent IRA BENE DSTRIB 4	Charles Schwab IRA (acct xxxx3348) — Brokerage Account to Ashford Private Bank Private Checking (acct xxxx4817)	$ 41.47
03/18/2025	Wire Sent WIRED FUNDS DISBURSED	Charles Schwab One (acct xxxx6159) — Brokerage Account to Ashford Private Bank Private Checking (acct xxxx4817)	$ 0.86
04/16/2025	Transfer to ICS 4817	Ashford Private Bank Private Checking (acct xxxx4817) to Ashford Private Bank ICS Savings (acct xxxx817)	$ 1,200,512.00
06/06/2025	500 INDEX FUND REWARD - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817	Victory Funds (acct xxxx2876) — Brokerage Account to Ashford Private Bank Private Checking (acct xxxx4817)	$ 181,960.66
06/06/2025	AGGRESSIVE GROWTH FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817	Victory Funds (acct xxxx2876) — Brokerage Account to Ashford Private Bank Private Checking (acct xxxx4817)	$ 55,724.77
06/06/2025	CALIFORNIA BOND FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817	Victory Funds (acct xxxx2876) — Brokerage Account to Ashford Private Bank Private Checking (acct xxxx4817)	$ 20,976.13
06/06/2025	EMERGING MARKETS FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817	Victory Funds (acct xxxx2876) — Brokerage Account to Ashford Private Bank Private Checking (acct xxxx4817)	$ 24,225.98
06/06/2025	HIGH INCOME FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817	Victory Funds (acct xxxx2876) — Brokerage Account to Ashford Private Bank Private Checking (acct xxxx4817)	$ 20,637.03
06/06/2025	INCOME FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817	Victory Funds (acct xxxx2876) — Brokerage Account to Ashford Private Bank Private Checking (acct xxxx4817)	$ 39,481.86
06/06/2025	INTERNATIONAL FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817	Victory Funds (acct xxxx2876) — Brokerage Account to Ashford Private Bank Private Checking (acct xxxx4817)	$ 40,943.82
06/06/2025	MONEY MARKET FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817	Victory Funds (acct xxxx2876) — Brokerage Account to Ashford Private Bank Private Checking (acct xxxx4817)	$ 63,348.51
06/06/2025	NASDAQ-100 INDEX FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817	Victory Funds (acct xxxx2876) — Brokerage Account to Ashford Private Bank Private Checking (acct xxxx4817)	$ 245,983.88
06/06/2025	PRECIOUS METALS & MINERALS FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817	Victory Funds (acct xxxx2876) — Brokerage Account to Ashford Private Bank Private Checking (acct xxxx4817)	$ 29,730.08
06/06/2025	SCIENCE & TECHNOLOGY FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817	Victory Funds (acct xxxx2876) — Brokerage Account to Ashford Private Bank Private Checking (acct xxxx4817)	$ 166,992.42
06/06/2025	TAX EXEMPT INTERMEDIATE-TERM FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817	Victory Funds (acct xxxx2876) — Brokerage Account to Ashford Private Bank Private Checking (acct xxxx4817)	$ 16,528.37
06/06/2025	TAX EXEMPT SHORT-TERM FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817	Victory Funds (acct xxxx2876) — Brokerage Account to Ashford Private Bank Private Checking (acct xxxx4817)	$ 12,845.47
06/10/2025	AGGRESSIVE GROWTH FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817	Victory Funds Roth IRA (acct xxxx7405) — Brokerage Account to Ashford Private Bank Private Checking (acct xxxx4817)	$ 30,077.27
06/10/2025	CAPITAL GROWTH FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817	Victory Funds Roth IRA (acct xxxx7405) — Brokerage Account to Ashford Private Bank Private Checking (acct xxxx4817)	$ 3,137.33
06/10/2025	HIGH INCOME FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817	Victory Funds Roth IRA (acct xxxx7405) — Brokerage Account to Ashford Private Bank Private Checking (acct xxxx4817)	$ 3,655.33
06/10/2025	INTERNATIONAL FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817	Victory Funds Roth IRA (acct xxxx7405) — Brokerage Account to Ashford Private Bank Private Checking (acct xxxx4817)	$ 3,418.41
06/10/2025	NASDAQ-100 INDEX FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817	Victory Funds Roth IRA (acct xxxx7405) — Brokerage Account to Ashford Private Bank Private Checking (acct xxxx4817)	$ 91,453.69
06/10/2025	SMALL CAP STOCK FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817	Victory Funds Roth IRA (acct xxxx7405) — Brokerage Account to Ashford Private Bank Private Checking (acct xxxx4817)	$ 10,272.73
06/28/2025	Transfer to ICS 4817	Ashford Private Bank Private Checking (acct xxxx4817) to Ashford Private Bank ICS Savings (acct xxxx817)	$ 1,056,451.00
		TOTAL TRANSFERS	**$ 4,136,223.69**

Notes (attorney use)

Out of Charles Schwab IRA (acct xxxx3348) — Brokerage Account on 02/14/2025: Wire Senl tRA BENE DSTRIB 4. Into Ashford Private Bank Private Checking (acct xxxx4817) on 02/14/2025: WIREINO53930 THE ESTATE OF WALTER R. PEMBE. Transfer between the accounting's own accounts; the

Out of Charles Schwab One (acct xxxx6159) — Brokerage Account on 02/14/2025: Wire Sent WIRED FUNDS DISBURSED. Into Ashford Private Bank Private Checking (acct xxxx4817) on 02/14/2025: WIREINO05550 DANIEL K PEMBERTON EX EST OF W. Transfer between the accounting's own

Out of Ashford Private Bank Private Checking (acct xxxx4817) on 02/16/2025: TRANSFER TO ICS 4817. Into Ashford Private Bank ICS Savings (acct xxxx817) on 02/16/2025: Deposit from Ashford checking 504817 (row text in scan: 'a OS TF a SSF 000 00 saan ® 301 220 00'). Transfer between the

Out of Wells Fargo CD (acct xxxx9061) on 02/21/2025: Account Payoff. Into Ashford Private Bank Private Checking (acct xxxx4817) on 02/23/2025: WELLS FARGO BANK WALTER R PEMBERTON ESTATE ACH CREDIT ECC PAYOUT. Transfer between the accounting's own accounts; the same amount

Out of Charles Schwab IRA (acct xxxx3348) — Brokerage Account on 03/18/2025: Wire Sent IRA BENE DSTRIB 4. Into Ashford Private Bank Private Checking (acct xxxx4817) on 03/18/2025: WIREINO33135 THE ESTATE OF WALTER R. PEMBE. Transfer between the accounting's own accounts; the

Out of Charles Schwab One (acct xxxx6159) — Brokerage Account on 03/18/2025: Wire Sent WIRED FUNDS DISBURSED. Into Ashford Private Bank Private Checking (acct xxxx4817) on 03/18/2025: WIREINO47293 DANIEL K PEMBERTON EX EST OF W. Transfer between the accounting's own

Out of Ashford Private Bank Private Checking (acct xxxx4817) on 04/16/2025: Transfer to ICS 4817. Into Ashford Private Bank ICS Savings (acct xxxx817) on 04/16/2025: Deposit from Ashford checking 504817 (row text in scan: 'nn OPUS TF nn SFS 1725761 nn B47 17 518 91'). Transfer between the accounting's

Out of Victory Funds (acct xxxx2876) — Brokerage Account on 06/06/2025: 500 INDEX FUND REWARD - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817. Into Ashford Private Bank Private Checking (acct xxxx4817) on 06/10/2025: 500 INDEX FUND R PEMBERTON ODANI ACH

Out of Victory Funds (acct xxxx2876) — Brokerage Account on 06/06/2025: AGGRESSIVE GROWTH FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817. Into Ashford Private Bank Private Checking (acct xxxx4817) on 06/10/2025: AGGRESSIVE GROWT PEMBERTON ODANI

Out of Victory Funds (acct xxxx2876) — Brokerage Account on 06/06/2025: CALIFORNIA BOND FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817. Into Ashford Private Bank Private Checking (acct xxxx4817) on 06/10/2025: CALIFORNIA BOND PEMBERTON ODANI ACH

Out of Victory Funds (acct xxxx2876) — Brokerage Account on 06/06/2025: EMERGING MARKETS FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817. Into Ashford Private Bank Private Checking (acct xxxx4817) on 06/10/2025: EMERGING MARKETS PEMBERTON ODANI

Out of Victory Funds (acct xxxx2876) — Brokerage Account on 06/06/2025: HIGH INCOME FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817. Into Ashford Private Bank Private Checking (acct xxxx4817) on 06/10/2025: HIGH INCOME FUND PEMBERTON ODANI ACH CREDIT

Out of Victory Funds (acct xxxx2876) — Brokerage Account on 06/06/2025: INCOME FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817. Into Ashford Private Bank Private Checking (acct xxxx4817) on 06/10/2025: INCOME FUND — PEMBERTON ODANI ACH CREDIT

Out of Victory Funds (acct xxxx2876) — Brokerage Account on 06/06/2025: INTERNATIONAL FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817. Into Ashford Private Bank Private Checking (acct xxxx4817) on 06/10/2025: INTERNATIONAL FU PEMBERTON ODANI ACH

Out of Victory Funds (acct xxxx2876) — Brokerage Account on 06/06/2025: MONEY MARKET FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817. Into Ashford Private Bank Private Checking (acct xxxx4817) on 06/10/2025: MONEY MARKET FUN PEMBERTON ODANI ACH

Out of Victory Funds (acct xxxx2876) — Brokerage Account on 06/06/2025: NASDAQ-100 INDEX FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817. Into Ashford Private Bank Private Checking (acct xxxx4817) on 06/10/2025: NASDAQ-100 INDEX PEMBERTON ODANI ACH

Out of Victory Funds (acct xxxx2876) — Brokerage Account on 06/06/2025: PRECIOUS METALS & MINERALS FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817. Into Ashford Private Bank Private Checking (acct xxxx4817) on 06/10/2025: PRECIOUS METALS PEMBERTON

Out of Victory Funds (acct xxxx2876) — Brokerage Account on 06/06/2025: SCIENCE & TECHNOLOGY FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817. Into Ashford Private Bank Private Checking (acct xxxx4817) on 06/10/2025: SCIENCE & TECHNO PEMBERTON ODANI

Out of Victory Funds (acct xxxx2876) — Brokerage Account on 06/06/2025: TAX EXEMPT INTERMEDIATE-TERM FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817. Into Ashford Private Bank Private Checking (acct xxxx4817) on 06/10/2025: TAX EXEMPT INTER PEMBERTON

Out of Victory Funds (acct xxxx2876) — Brokerage Account on 06/06/2025: TAX EXEMPT SHORT-TERM FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817. Into Ashford Private Bank Private Checking (acct xxxx4817) on 06/10/2025: TAX EXEMPT SHORT PEMBERTON

Out of Victory Funds Roth IRA (acct xxxx7405) — Brokerage Account on 06/10/2025: AGGRESSIVE GROWTH FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817. Into Ashford Private Bank Private Checking (acct xxxx4817) on 06/12/2025: AGGRESSIVE GROWT WALTER

Out of Victory Funds Roth IRA (acct xxxx7405) — Brokerage Account on 06/10/2025: CAPITAL GROWTH FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817. Into Ashford Private Bank Private Checking (acct xxxx4817) on 06/12/2025: CAPITAL GROWTH F WALTER R

Out of Victory Funds Roth IRA (acct xxxx7405) — Brokerage Account on 06/10/2025: HIGH INCOME FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817. Into Ashford Private Bank Private Checking (acct xxxx4817) on 06/12/2025: HIGH INCOME FUND WALTER R. PEMBE

Out of Victory Funds Roth IRA (acct xxxx7405) — Brokerage Account on 06/10/2025: INTERNATIONAL FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817. Into Ashford Private Bank Private Checking (acct xxxx4817) on 06/12/2025: INTERNATIONAL FU WALTER R

Out of Victory Funds Roth IRA (acct xxxx7405) — Brokerage Account on 06/10/2025: NASDAQ-100 INDEX FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817. Into Ashford Private Bank Private Checking (acct xxxx4817) on 06/12/2025: NASDAQ-100 INDEX WALTER R

Out of Victory Funds Roth IRA (acct xxxx7405) — Brokerage Account on 06/10/2025: SMALL CAP STOCK FUND - ACH REDEMPTION proceeds to Ashford Private Bank checking 504817. Into Ashford Private Bank Private Checking (acct xxxx4817) on 06/12/2025: SMALL CAP STOCK WALTER R

Out of Ashford Private Bank Private Checking (acct xxxx4817) on 06/28/2025: Transfer to ICS 4817. Into Ashford Private Bank ICS Savings (acct xxxx817) on 06/28/2025: Deposit from Ashford checking 504817 (row text in scan: 'nn CP OST S829 30702 nn B8:536 389 13'). Transfer between the accounting's own

Changes in Form of Assets

California Probate Code § 1063(b)

Estate of Walter R. Pemberton

Period: 08/19/2024 – 07/31/2025

Date	Shares	Description	Cash	Carry Value	Notes (attorney use)	Trace
ACCOUNTS CLOSED						
RE: Victory Funds (acct xxxx2876) — Brokerage Account						
06/06/2025		Victory Funds (acct xxxx2876)			Its statements stop 06/19/2025, before the accour	Victory history May 2024-Jun 20
RE: Victory Funds Roth IRA (acct xxxx7405) — Brokerage Account						
06/10/2025		Victory Funds Roth IRA (acct xxxx7405)			Its statements stop 06/19/2025, before the accour	Victory Roth history Nov 2023-A
RE: Wells Fargo CD (acct xxxx9061)						
02/21/2025		Wells Fargo CD (acct xxxx9061)			Its statements stop 02/21/2025, before the accour	Wells Fargo CD history.pdf p. 1
PURCHASES						
RE: Victory Funds (acct xxxx2876) — Brokerage Account						
10/12/2024	67.807	500 INDEX FUND REWARD (reinvestment)	$ (4,899.01)	$ 4,899.01		Victory history May 2024-Jun 20
10/12/2024	0.681	500 INDEX FUND REWARD (reinvestment)	$ (49.21)	$ 49.21		Victory history May 2024-Jun 20
10/18/2024	6.292	500 INDEX FUND REWARD (reinvestment)	$ (449.78)	$ 449.78		Victory history May 2024-Jun 20
01/16/2025	5.146	500 INDEX FUND REWARD (reinvestment)	$ (363.80)	$ 363.80		Victory history May 2024-Jun 20
04/15/2025	4.386	500 INDEX FUND REWARD (reinvestment)	$ (350.75)	$ 350.75		Victory history May 2024-Jun 20
10/12/2024	32.117	AGGRESSIVE GROWTH FUND (reinvestment)	$ (2,095.53)	$ 2,095.53		Victory history May 2024-Jun 20
10/12/2024	1.696	AGGRESSIVE GROWTH FUND (reinvestment)	$ (110.63)	$ 110.63		Victory history May 2024-Jun 20
08/31/2024	7.154	CALIFORNIA BOND FUND (reinvestment)	$ (64.27)	$ 64.27		Victory history May 2024-Jun 20
09/28/2024	5.984	CALIFORNIA BOND FUND (reinvestment)	$ (53.77)	$ 53.77		Victory history May 2024-Jun 20
10/31/2024	7.261	CALIFORNIA BOND FUND (reinvestment)	$ (64.85)	$ 64.85		Victory history May 2024-Jun 20
11/30/2024	6.856	CALIFORNIA BOND FUND (reinvestment)	$ (61.41)	$ 61.41		Victory history May 2024-Jun 20
12/27/2024	6.213	CALIFORNIA BOND FUND (reinvestment)	$ (56.42)	$ 56.42		Victory history May 2024-Jun 20
01/31/2025	6.886	CALIFORNIA BOND FUND (reinvestment)	$ (60.85)	$ 60.85		Victory history May 2024-Jun 20
02/20/2025	4.635	CALIFORNIA BOND FUND (reinvestment)	$ (41.56)	$ 41.56		Victory history May 2024-Jun 20
05/31/2025	7.729	CALIFORNIA BOND FUND (reinvestment)	$ (68.09)	$ 68.09		Victory history May 2024-Jun 20
06/06/2025	0.910	CALIFORNIA BOND FUND (reinvestment)	$ (8.06)	$ 8.06		Victory history May 2024-Jun 20
10/12/2024	39.944	EMERGING MARKETS FUND (reinvestment)	$ (880.25)	$ 880.25		Victory history May 2024-Jun 20
10/12/2024	8.763	EMERGING MARKETS FUND (reinvestment)	$ (193.12)	$ 193.12		Victory history May 2024-Jun 20
10/18/2024	23.757	EMERGING MARKETS FUND (reinvestment)	$ (503.82)	$ 503.82		Victory history May 2024-Jun 20
09/17/2024	29.922	HIGH INCOME FUND (reinvestment)	$ (180.78)	$ 180.78		Victory history May 2024-Jun 20
10/18/2024	19.063	HIGH INCOME FUND (reinvestment)	$ (115.51)	$ 115.51		Victory history May 2024-Jun 20
11/14/2024	16.852	HIGH INCOME FUND (reinvestment)	$ (102.41)	$ 102.41		Victory history May 2024-Jun 20
12/11/2024	17.738	HIGH INCOME FUND (reinvestment)	$ (107.64)	$ 107.64		Victory history May 2024-Jun 20
01/16/2025	28.025	HIGH INCOME FUND (reinvestment)	$ (166.88)	$ 166.88		Victory lot table Nov 2024.pdf
02/15/2025	21.230	HIGH INCOME FUND (reinvestment)	$ (127.16)	$ 127.16		Victory history May 2024-Jun 20
09/17/2024	13.788	INCOME FUND (reinvestment)	$ (140.85)	$ 140.85		Victory history May 2024-Jun 20
10/18/2024	24.522	INCOME FUND (reinvestment)	$ (250.28)	$ 250.28		Victory history May 2024-Jun 20

11/14/2024	0.020	INCOME FUND (reinvestment)	$ (0.21)	$ 0.21	Victory history May 2024-Jun 2(
12/11/2024	11.356	INCOME FUND (reinvestment)	$ (116.50)	$ 116.50	Victory history May 2024-Jun 2(
01/16/2025	15.199	INCOME FUND (reinvestment)	$ (154.34)	$ 154.34	Victory history May 2024-Jun 2(
02/15/2025	13.354	INCOME FUND (reinvestment)	$ (135.83)	$ 135.83	Victory history May 2024-Jun 2(
03/13/2025	12.099	INCOME FUND (reinvestment)	$ (122.11)	$ 122.11	Victory history May 2024-Jun 2(
04/15/2025	13.984	INCOME FUND (reinvestment)	$ (141.63)	$ 141.63	Victory history May 2024-Jun 2(
10/12/2024	96.724	INTERNATIONAL FUND (reinvestment)	$ (2,609.50)	$ 2,609.50	Victory history May 2024-Jun 2(
10/12/2024	16.863	INTERNATIONAL FUND (reinvestment)	$ (454.95)	$ 454.95	Victory history May 2024-Jun 2(
10/18/2024	32.072	INTERNATIONAL FUND (reinvestment)	$ (845.11)	$ 845.11	Victory history May 2024-Jun 2(
10/12/2024	106.175	NASDAQ-100 INDEX FUND (reinvestment)	$ (5,606.57)	$ 5,606.57	Victory history May 2024-Jun 2(
10/12/2024	3.611	NASDAQ-100 INDEX FUND (reinvestment)	$ (190.70)	$ 190.70	Victory history May 2024-Jun 2(
10/18/2024	7.570	NASDAQ-100 INDEX FUND (reinvestment)	$ (396.15)	$ 396.15	Victory history May 2024-Jun 2(
10/18/2024	1.748	PRECIOUS METALS & MINERALS FUND (reinvestment	$ (72.62)	$ 72.62	Victory history May 2024-Jun 2(
10/12/2024	412.800	SCIENCE & TECHNOLOGY FUND (reinvestment)	$ (11,857.68)	$ 11,857.68	Victory history May 2024-Jun 2(
08/31/2024	4.536	TAX EXEMPT INTERMEDIATE-TERM FUND (reinvestm	$ (50.06)	$ 50.06	Victory history May 2024-Jun 2(
09/28/2024	3.874	TAX EXEMPT INTERMEDIATE-TERM FUND (reinvestm	$ (42.69)	$ 42.69	Victory history May 2024-Jun 2(
10/31/2024	4.477	TAX EXEMPT INTERMEDIATE-TERM FUND (reinvestm	$ (49.30)	$ 49.30	Victory history May 2024-Jun 2(
11/30/2024	4.277	TAX EXEMPT INTERMEDIATE-TERM FUND (reinvestm	$ (47.31)	$ 47.31	Victory history May 2024-Jun 2(
12/27/2024	3.880	TAX EXEMPT INTERMEDIATE-TERM FUND (reinvestm	$ (43.33)	$ 43.33	Victory history May 2024-Jun 2(
01/31/2025	4.290	TAX EXEMPT INTERMEDIATE-TERM FUND (reinvestm	$ (46.89)	$ 46.89	Victory history May 2024-Jun 2(
02/20/2025	2.869	TAX EXEMPT INTERMEDIATE-TERM FUND (reinvestm	$ (31.71)	$ 31.71	Victory history May 2024-Jun 2(
02/28/2025	1.448	TAX EXEMPT INTERMEDIATE-TERM FUND (reinvestm	$ (15.95)	$ 15.95	Victory history May 2024-Jun 2(
03/29/2025	4.434	TAX EXEMPT INTERMEDIATE-TERM FUND (reinvestm	$ (48.94)	$ 48.94	Victory history May 2024-Jun 2(
04/30/2025	4.334	TAX EXEMPT INTERMEDIATE-TERM FUND (reinvestm	$ (48.13)	$ 48.13	Victory history May 2024-Jun 2(
05/31/2025	4.759	TAX EXEMPT INTERMEDIATE-TERM FUND (reinvestm	$ (51.82)	$ 51.82	Victory history May 2024-Jun 2(
06/06/2025	0.575	TAX EXEMPT INTERMEDIATE-TERM FUND (reinvestm	$ (6.29)	$ 6.29	Victory history May 2024-Jun 2(
08/31/2024	3.502	TAX EXEMPT SHORT-TERM FUND (reinvestment)	$ (31.59)	$ 31.59	Victory history May 2024-Jun 2(
09/28/2024	2.992	TAX EXEMPT SHORT-TERM FUND (reinvestment)	$ (26.96)	$ 26.96	Victory history May 2024-Jun 2(
10/31/2024	3.401	TAX EXEMPT SHORT-TERM FUND (reinvestment)	$ (30.67)	$ 30.67	Victory history May 2024-Jun 2(
11/30/2024	3.021	TAX EXEMPT SHORT-TERM FUND (reinvestment)	$ (27.35)	$ 27.35	Victory history May 2024-Jun 2(
12/27/2024	2.921	TAX EXEMPT SHORT-TERM FUND (reinvestment)	$ (26.58)	$ 26.58	Victory history May 2024-Jun 2(
01/31/2025	3.123	TAX EXEMPT SHORT-TERM FUND (reinvestment)	$ (28.09)	$ 28.09	Victory history May 2024-Jun 2(
02/20/2025	2.250	TAX EXEMPT SHORT-TERM FUND (reinvestment)	$ (20.31)	$ 20.31	Victory history May 2024-Jun 2(
02/28/2025	1.108	TAX EXEMPT SHORT-TERM FUND (reinvestment)	$ (9.97)	$ 9.97	Victory history May 2024-Jun 2(
03/29/2025	3.303	TAX EXEMPT SHORT-TERM FUND (reinvestment)	$ (29.76)	$ 29.76	Victory history May 2024-Jun 2(
04/30/2025	3.279	TAX EXEMPT SHORT-TERM FUND (reinvestment)	$ (29.57)	$ 29.57	Victory history May 2024-Jun 2(
05/31/2025	3.577	TAX EXEMPT SHORT-TERM FUND (reinvestment)	$ (32.04)	$ 32.04	Victory history May 2024-Jun 2(
06/06/2025	0.413	TAX EXEMPT SHORT-TERM FUND (reinvestment)	$ (3.71)	$ 3.71	Victory history May 2024-Jun 2(

RE: Victory Funds Roth IRA (acct xxxx7405) — Brokerage Account

10/12/2024	0.905	AGGRESSIVE GROWTH FUND (reinvestment)	$ (59.04)	$ 59.04	Victory Roth history Nov 2023-A
10/12/2024	17.139	AGGRESSIVE GROWTH FUND (reinvestment)	$ (1,118.25)	$ 1,118.25	Victory Roth history Nov 2023-A
10/12/2024	19.367	CAPITAL GROWTH FUND (reinvestment)	$ (233.86)	$ 233.86	Victory Roth history Nov 2023-A

10/12/2024	0.674	CAPITAL GROWTH FUND (reinvestment)	$ (8.14)	$ 8.14	Victory Roth history Nov 2023-A
10/18/2024	2.430	CAPITAL GROWTH FUND (reinvestment)	$ (28.92)	$ 28.92	Victory Roth history Nov 2023-A
09/17/2024	5.301	HIGH INCOME FUND (reinvestment)	$ (32.03)	$ 32.03	Victory Roth history Nov 2023-A
10/18/2024	3.376	HIGH INCOME FUND (reinvestment)	$ (20.46)	$ 20.46	Victory Roth history Nov 2023-A
11/14/2024	2.986	HIGH INCOME FUND (reinvestment)	$ (18.14)	$ 18.14	Victory Roth history Nov 2023-A
12/11/2024	3.142	HIGH INCOME FUND (reinvestment)	$ (19.07)	$ 19.07	Victory Roth history Nov 2023-A
01/16/2025	4.963	HIGH INCOME FUND (reinvestment)	$ (29.55)	$ 29.55	Victory Roth history Nov 2023-A
02/15/2025	3.761	HIGH INCOME FUND (reinvestment)	$ (22.53)	$ 22.53	Victory Roth history Nov 2023-A
04/15/2025	3.590	HIGH INCOME FUND (reinvestment)	$ (21.41)	$ 21.41	Victory Roth history Nov 2023-A
05/15/2025	3.344	HIGH INCOME FUND (reinvestment)	$ (19.86)	$ 19.86	Victory Roth lot table Feb 2025.
10/12/2024	8.044	INTERNATIONAL FUND (reinvestment)	$ (217.01)	$ 217.01	Victory Roth history Nov 2023-A
10/12/2024	1.402	INTERNATIONAL FUND (reinvestment)	$ (37.83)	$ 37.83	Victory Roth history Nov 2023-A
10/18/2024	2.667	INTERNATIONAL FUND (reinvestment)	$ (70.28)	$ 70.28	Victory Roth history Nov 2023-A
10/12/2024	39.141	NASDAQ-100 INDEX FUND (reinvestment)	$ (2,066.85)	$ 2,066.85	Victory Roth history Nov 2023-A
10/12/2024	1.331	NASDAQ-100 INDEX FUND (reinvestment)	$ (70.30)	$ 70.30	Victory Roth history Nov 2023-A
10/18/2024	2.791	NASDAQ-100 INDEX FUND (reinvestment)	$ (146.04)	$ 146.04	Victory Roth history Nov 2023-A
10/12/2024	44.638	SMALL CAP STOCK FUND (reinvestment)	$ (537.45)	$ 537.45	Victory Roth history Nov 2023-A
10/18/2024	2.098	SMALL CAP STOCK FUND (reinvestment)	$ (24.91)	$ 24.91	Victory Roth history Nov 2023-A
		TOTAL PURCHASES	**$ (39,851.54)**	**$ 39,851.54**	

Estimated Market Value of Assets on Hand at End of Period

Prob. Code §1063(a) · Shown for information; the account balances at carry value

Estate of Walter R. Pemberton

Period: 08/19/2024 – 07/31/2025

Date	Symbol	Security / Description	Quantity	Basis/Share	Carry Value	Total Carry Value	Unrealized Gain/Loss	% Change	Market Value	Total Market Value	*Trace*
Real Property											
07/31/2025		8317 Canyon Oak Way, Citrus Heights, CA 95610 (APN 243-0272-031; I&A Attachment 2, item 1a)			$ 292,488.00		$ 0.00	—	$ 292,488.00		Inventory and Appraisal.pdf
07/31/2025		1126 Sycamore Dr., Hanford, CA 93230 (APN 017-322-041-000; I&A Attachment 2, item 1c)			$ 410,357.00		$ 0.00	—	$ 410,357.00		Inventory and Appraisal.pdf
Real Property – Subtotal						**$ 702,845.00**	**$ 0.00**	**—**		**$ 702,845.00**	
Coin Collection											
07/31/2025		US Mint non-gold coins (I&A Attachment 2, item 7a)			$ 32,698.60		$ 0.00	—	$ 32,698.60		Inventory and Appraisal.pdf
07/31/2025		US Mint gold/platinum coins (I&A Attachment 2, item 7b)			$ 267,839.00		$ 0.00	—	$ 267,839.00		Inventory and Appraisal.pdf
07/31/2025		Collectible coins (I&A Attachment 2, item 7, printed 'b. COLLECTIBLE COINS' on page 9)			$ 44,078.00		$ 0.00	—	$ 44,078.00		Inventory and Appraisal.pdf
Coin Collection – Subtotal						**$ 344,615.60**	**$ 0.00**	**—**		**$ 344,615.60**	
Personal Property											
07/31/2025		1966 Ford Mustang, VIN 6R07C148293, non-op (I&A Attachment 2, item 2b; not mentioned in the memo - shown as still on hand)			$ 3,230.00		$ 0.00	—	$ 3,230.00		Inventory and Appraisal.pdf
07/31/2025		2016 Honda Accord, VIN 1HGCR2F31GA051847 (I&A Attachment 2, item 2a) - the memo says it was sold 3/4/25; the sale price and the deposit of			$ 8,906.00		$ 0.00	—	$ 8,906.00		Inventory and Appraisal.pdf
Personal Property – Subtotal						**$ 12,136.00**	**$ 0.00**	**—**		**$ 12,136.00**	
Ashford Private Bank ICS Savings (acct xxxx817)											
Cash & Cash Equivalents											
07/31/2025		CASH BALANCE			$ 2,559,087.38		$ 0.00	—	$ 2,559,087.38		Bank statements 3.pdf p. 3
Ashford Private Bank ICS Savings (acct xxxx817) – Subtotal						**$ 2,559,087.38**	**$ 0.00**	**—**		**$ 2,559,087.38**	
Ashford Private Bank Private Checking (acct xxxx4817)											
Cash & Cash Equivalents											
07/31/2025		CASH BALANCE			$ 205,423.43		$ 0.00	—	$ 205,423.43		Bank statements 4.pdf p. 1
Ashford Private Bank Private Checking (acct xxxx4817) – Subtotal						**$ 205,423.43**	**$ 0.00**	**—**		**$ 205,423.43**	
Wells Fargo CD (acct xxxx9061)											
Cash & Cash Equivalents											
02/21/2025		CASH BALANCE			$ 0.00		$ 0.00	—	$ 0.00		Wells Fargo CD history.pdf
Wells Fargo CD (acct xxxx9061) – Subtotal						**$ 0.00**	**$ 0.00**	**—**		**$ 0.00**	
Charles Schwab IRA (acct xxxx3348)											
Cash & Cash Equivalents											
03/18/2025		CASH BALANCE			$ 0.00		$ 0.00	—	$ 0.00		Schwab IRA history Aug 2024-Mar 2
Charles Schwab IRA (acct xxxx3348) – Subtotal						**$ 0.00**	**$ 0.00**	**—**		**$ 0.00**	
Charles Schwab One (acct xxxx6159)											
Cash & Cash Equivalents											

03/18/2025		CASH BALANCE			$ 0.00		$ 0.00	—	$ 0.00		Schwab One history Sep 2024-Mar 2
Charles Schwab One (acct xxxx6159) – Subtotal						**$ 0.00**	**$ 0.00**	**—**		**$ 0.00**	

TOTAL ENDING HOLDINGS						**$ 3,824,107.41**	**$ 0.00**	**—**		**$ 3,824,107.41**	

STATUTORY COMPENSATION – FEE BASE AND COMPUTATION

Prob. Code §§10800, 10810; Cal. Rules of Court, rule 7.705(a)

Estate of Walter R. Pemberton

Period: 08/19/2024 – 07/31/2025

Item	Amount
THE BASE (rule 7.705(a))	
Inventory and Appraisal (starts as Schedule A; enter the I&A total)	$ 3,327,394.95
Receipts, excluding principal (Schedule E)	$ 10,836.36
Gains on sales (Schedule C)	$ 570,753.02
Less: losses on sales (Schedule D)	$ (8,889.52)
FEE BASE	**$ 3,900,094.81**
COMPUTATION (§10800(a))	
4.0% of the first $100,000	$ 4,000.00
3.0% of the next $100,000	$ 3,000.00
2.0% of the next $800,000	$ 16,000.00
1.0% of the next $9,000,000	$ 29,000.95
0.5% of the next $15,000,000	$ 0.00
STATUTORY COMPENSATION (each of personal representative and attorney)	**$ 52,000.95**

The statute's base adds "receipts" (§10800(b)); rule 7.705(a)'s form says "Receipts, Excluding Principal". Adjust the receipts line if the court in this county reads it otherwise. Encumbrances

Schedule Q – Proposed Distribution Worksheet

California Probate Code §§ 1063(f), 12006; Cal. Rules of Court, rule 7.651(b)

Estate of Walter R. Pemberton

Period: 08/19/2024 – 07/31/2025

Distributee or property	Description	Share	Principal	Income (§12006)	Total

Worksheet until the blank cells are completed: each distributee and share under the will; for real property and promissory notes, the rule 7.651(b) particulars.

PROPERTY ON HAND FOR DISTRIBUTION

Assets on hand at end of period (Schedule H)					$ 3,824,107.41
Less: reserve for closing expenses and taxes (enter)					$ 0.00
NET AVAILABLE FOR DISTRIBUTION					**$ 3,824,107.41**

(2) THE RESIDUE

Residue: net available					**$ 3,824,107.41**
Net income received during administration, not yet distributed. Starts as this period's Schedule E less Schedule G; enter the figure for the whole administration.				$ 10,836.36	
Less: net income on specifically devised property, given to the devisee above (§12002)				$ 0.00	
Less: other income paid or devised under other provisions, if any (enter)				$ 0.00	
NET INCOME TO ALLOCATE AMONG THE RESIDUARY DISTRIBUTEES (§12006)				**$ 10,836.36**	
Principal of the residue (the residue less that net income)			**$ 3,813,271.05**		

Residuary distributees: principal and net income pro rata by share (§12006)

	Residuary share				
	Residuary share				
	Residuary share				
	Residuary share				
	Residuary share				
	Residuary share				
TOTAL TO RESIDUARY DISTRIBUTEES		**0.00%**	**$ 0.00**	**$ 0.00**	**$ 0.00**

Enter each distributee's share.

If a distributee takes for life or for a term of years, that share of the income belongs to the tenant for life or for the term of years (§12006).

(3) DESCRIPTION OF THE PROPERTY TO BE DISTRIBUTED (Cal. Rules of Court, rule 7.651(b))

Ashford Private Bank ICS Savings (acct xxxx817)

Cash	Cash on hand in Ashford Private Bank ICS Savings (acct xxxx817) (Cash Balance)				$ 2,559,087.38

Ashford Private Bank Private Checking (acct xxxx4817)

Cash	Cash on hand in Ashford Private Bank Private Checking (acct xxxx4817) (Cash Balance)				$ 205,423.43

Real Property

Real property	8317 Canyon Oak Way, Citrus Heights, CA 95610 (APN 243-0272-031; I&A Attachment 2, item 1a)				$ 292,488.00
	Legal description (complete)				
	Street address				
	Assessor's parcel number				
Real property	1126 Sycamore Dr., Hanford, CA 93230 (APN 017-322-041-000; I&A Attachment 2, item 1c)				$ 410,357.00

	Legal description (complete)				
	Street address				
	Assessor's parcel number				
Coin Collection					
Cash	Cash on hand in Coin Collection (US Mint non-gold coins (I&A Attachment 2, item 7a))				$ 32,698.60
Cash	Cash on hand in Coin Collection (US Mint gold/platinum coins (I&A Attachment 2, item 7b))				$ 267,839.00
Cash	Cash on hand in Coin Collection (Collectible coins (I&A Attachment 2, item 7, printed 'b. COLLECTIBLE COINS' on page 9))				$ 44,078.00
Personal Property					
Cash	Cash on hand in Personal Property (1966 Ford Mustang, VIN 6R07C148293, non-op (I&A Attachment 2, item 2b; not mentioned in the memo - shown as still on hand))				$ 3,230.00
Cash	Cash on hand in Personal Property (2016 Honda Accord, VIN 1HGCR2F31GA051847 (I&A Attachment 2, item 2a) - the memo says it was sold 3/4/25; the sale price and the deposit of the proceeds are not in the uploaded documents, so it is shown at its inventory value until they are)				$ 8,906.00
Cash on hand, total (rule 7.651(b)(1))					**$ 3,121,262.41**
Other property to be distributed that is not on the statements					

The statements give each security and cash balance; they do not give a legal description, street address or parcel number, or a note's security. Complete the blank cells for any real property or promissory note to be distributed (rule 7.651(b)(2), (3)), and state in the petition whether the

Tax — Income Summary

Informational summary derived from statement-level data. Always reconcile against annual tax forms.

Calendar Year 2024

INCOME (Schedule E)

Account / Category	Transactions		Amount
Charles Schwab IRA (acct xxxx3348) — Brokerage Account — 08/19/2024 - 08/31/2024			
Dividend Income (cash)	3	$	117.19
Subtotal — Charles Schwab IRA (acct xxxx3348) — Brokerage Account	**3**	**$**	**117.19**
Charles Schwab One (acct xxxx6159) — Brokerage Account — 08/19/2024 - 08/31/2024			
Dividend Income (cash)	1	$	2.30
Subtotal — Charles Schwab One (acct xxxx6159) — Brokerage Account	**1**	**$**	**2.30**
Victory Funds (acct xxxx2876) — Brokerage Account — 08/19/2024 - 12/31/2024			
Dividend Income (reinvested)	38	$	5,854.82
Subtotal — Victory Funds (acct xxxx2876) — Brokerage Account	**38**	**$**	**5,854.82**
Victory Funds Roth IRA (acct xxxx7405) — Brokerage Account — 09/01/2024 - 12/31/2024			
Dividend Income (reinvested)	12	$	535.16
Subtotal — Victory Funds Roth IRA (acct xxxx7405) — Brokerage Account	**12**	**$**	**535.16**
Wells Fargo CD (acct xxxx9061) — 10/01/2024 - 12/31/2024			
Interest Income	3	$	16.34
Subtotal — Wells Fargo CD (acct xxxx9061)	**3**	**$**	**16.34**
Income subtotal for 2024	**57**	**$**	**6,525.81**
TOTAL for 2024 (Income + Net Realized)	**57**	**$**	**6,525.81**

Calendar Year 2025

INCOME (Schedule E)

Account / Category	Transactions		Amount
Ashford Private Bank ICS Savings (acct xxxx817) — 02/01/2025 - 07/31/2025			
Interest Income	7	$	904.38
Subtotal — Ashford Private Bank ICS Savings (acct xxxx817)	**7**	**$**	**904.38**
Ashford Private Bank Private Checking (acct xxxx4817) — 06/01/2025 - 07/31/2025			
Interest Income	3	$	240.55
Subtotal — Ashford Private Bank Private Checking (acct xxxx4817)	**3**	**$**	**240.55**
Charles Schwab IRA (acct xxxx3348) — Brokerage Account — 02/01/2025 - 02/28/2025			
Dividend Income (cash)	2	$	0.29
Subtotal — Charles Schwab IRA (acct xxxx3348) — Brokerage Account	**2**	**$**	**0.29**
Charles Schwab One (acct xxxx6159) — Brokerage Account — 02/01/2025 - 02/28/2025			
Dividend Income (cash)	2	$	0.08
Subtotal — Charles Schwab One (acct xxxx6159) — Brokerage Account	**2**	**$**	**0.08**
Victory Funds (acct xxxx2876) — Brokerage Account — 01/01/2025 - 06/30/2025			
Dividend Income (reinvested)	33	$	3,054.18
Subtotal — Victory Funds (acct xxxx2876) — Brokerage Account	**33**	**$**	**3,054.18**

Victory Funds Roth IRA (acct xxxx7405) — Brokerage Account — 01/01/2025 - 05/31/2025			
Dividend Income (reinvested)	4	$	93.35
Subtotal — Victory Funds Roth IRA (acct xxxx7405) — Brokerage Account	**4**	**$**	**93.35**
Wells Fargo CD (acct xxxx9061) — 01/01/2025 - 02/28/2025			
Interest Income	2	$	9.39
Subtotal — Wells Fargo CD (acct xxxx9061)	**2**	**$**	**9.39**
Income subtotal for 2025	**53**	**$**	**4,302.22**

REALIZED GAINS & LOSSES (Schedules C & D)

Account / Type	Transactions		Amount
Charles Schwab IRA (acct xxxx3348) — Brokerage Account — 02/01/2025 - 02/28/2025			
Realized Gains (Schedule C)	11	$	128,623.25
Realized Losses (Schedule D)	4	$	(7,065.42)
Net Realized — Charles Schwab IRA (acct xxxx3348) — Brokerage Account	**15**	**$**	**121,557.83**
Charles Schwab One (acct xxxx6159) — Brokerage Account — 02/01/2025 - 02/28/2025			
Realized Gains (Schedule C)	4	$	7,554.42
Realized Losses (Schedule D)	1	$	(344.69)
Net Realized — Charles Schwab One (acct xxxx6159) — Brokerage Account	**5**	**$**	**7,209.73**
Victory Funds (acct xxxx2876) — Brokerage Account — 06/01/2025 - 06/30/2025			
Realized Gains (Schedule C)	7	$	371,995.01
Realized Losses (Schedule D)	5	$	(1,419.34)
Net Realized — Victory Funds (acct xxxx2876) — Brokerage Account	**12**	**$**	**370,575.67**
Victory Funds Roth IRA (acct xxxx7405) — Brokerage Account — 06/01/2025 - 06/30/2025			
Realized Gains (Schedule C)	5	$	16,306.34
Realized Losses (Schedule D)	1	$	(60.07)
Net Realized — Victory Funds Roth IRA (acct xxxx7405) — Brokerage Account	**6**	**$**	**16,246.27**
Net Realized subtotal for 2025	**38**	**$**	**515,589.50**
TOTAL for 2025 (Income + Net Realized)	**91**	**$**	**519,891.72**
Transactions with Unparseable Date			
Interest Income	1	$	8.33
GRAND TOTAL — Entire Accounting Period	**149**	**$**	**526,425.86**

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